# An Adaptive Human Driver Model for Realistic Race Car Simulations

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Abstract—Engineering a high-performance race car requires a <sup>2</sup> direct consideration of the human driver using real-world tests or 3 human-driver-in-the-loop simulations. Alternatively, offline sim-4 ulations with human-like race driver models could make this 5 vehicle development process more effective and efficient but are 6 hard to obtain due to various challenges. With this work, we 7 intend to provide a better understanding of race driver behavior 8 from expert knowledge and introduce an adaptive human race 9 driver model based on imitation learning. Using existing find-10 ings in the literature, complemented with an interview with a 11 race engineer, we identify fundamental adaptation mechanisms 12 and how drivers learn to optimize lap time on a new track. 13 Subsequently, we select the most distinct adaptation mechanisms 14 via a survey with 12 additional experts, to develop generalization 15 and adaptation techniques for a recently presented probabilis-16 tic driver modeling approach and evaluate it using data from 17 professional race drivers and a state-of-the-art race car simu-18 lator. We show that our framework can create realistic driving 19 line distributions on unseen race tracks with almost human-like 20 performance. Moreover, our driver model optimizes its driving 21 lap by lap, correcting driving errors from previous laps while 22 achieving faster lap times. This work contributes to a better 23 understanding and modeling of the human driver, aiming to 24 expedite simulation methods in the modern vehicle development 25 process and potentially supporting automated driving and racing 26 technologies.

AQ1

AO2

AQ3

27

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Index Terms—

### I. INTRODUCTION

<sup>29</sup> T HROUGHOUT more than 125 years of motorsports his-<sup>30</sup> tory, the fundamental goal of all participants did not <sup>31</sup> change: reaching the best racing performance among competi-<sup>32</sup> tors, which ultimately requires engineering a race car that fits <sup>33</sup> its driver well. In fact, Milliken and Milliken already stated in

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1995 that "it is the dynamic behavior of the combination of 34 high-tech machines and infinitely complex human beings that 35 makes the sport so intriguing for participants and spectators alike" [1]. Hence, for modern vehicle development in pro-37 fessional motorsports, a good understanding and modeling of the human (not necessarily lap time-optimal) driver are cru-39 cial to further improve the performance of the human-drivervehicle-system. This objective is different from the motivation 41 of robotic racing, where as-fast-as-possible synthetic drivers outperform human drivers [2]. However, the human decision-43 making process during racing is extremely complex and thus 44 difficult to model, since: 45

- 1) many influencing factors exist;
- vehicle dynamics are highly nonlinear and race cars are 47 usually driven at the limits of handling, posing a difficult 48 control task; 49
- 3) each driver exhibits an individual driving style;
- 4) human generalization and adaptation mechanisms are 51 complex. 52

While challenges 1–3 have been successfully addressed 53

in recent research with a framework that employs a deep 54 neural network controller to capture these three aspects of 55 human driving [3], [4], the problem of integrating human 56 *adaptation* into a race driver model<sup>1</sup> remains unsolved. With 57 this work, we intend to identify and better understand adap-58 tation and learning techniques mastered by professional race 59 drivers from related research and expert knowledge, contribute 60 to the modeling of driver behavior by developing two meth-61 ods to incorporate this behavior, and evaluate the proposed 62 methodology within a realistic race car simulation environment 63 as in the human-driver-in-the-loop (HDiL) simulator shown in 64 Fig. 1. 65

A human-like race driver model could considerably extend and improve full vehicle simulations, ultimately enhance the resulting development efficiency and vehicle performance, while being much less expensive compared to HDiL simulations. 70

## A. Problem Statement and Notation

In order to model human race driver behavior, we aim to <sup>72</sup> learn a human-like control policy  $\pi^M$  which maps the current <sup>73</sup> overall state **x**, including vehicle state and situation on track, <sup>74</sup> to the vehicle control inputs **a** =  $\begin{bmatrix} \delta & g & b \end{bmatrix}$  composed of steering wheel angle  $\delta$ , throttle pedal position *g* and brake pedal <sup>76</sup>

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<sup>&</sup>lt;sup>1</sup>A driver model represents a vehicle control policy aiming to mimic the behavior of the human race driver in order to support full vehicle simulations.



Fig. 1. Race car simulator at Porsche Motorsport [5]: Realistic visualization, a vehicle cockpit mounted on an actuated platform, and a high-fidelity vehicle dynamics model facilitate rapid testing of new vehicle configurations with the human driver in the loop. The vehicle model is developed in-house, has 14 degrees of freedom, and is validated using real-track data. It is accompanied by a high-resolution, laser-scanned track model. Details about the simulator can be found in [6]. This simulator is used to generate demonstration data from professional race drivers for our adaptive human driver model. Consequently, the simulator's vehicle model is taken to evaluate the human driver model, intending to support the future vehicle development process.

<sup>77</sup> actuation *b*. This policy should be able to robustly maneuver <sup>78</sup> a race car at the handling limits while being similar to the <sup>79</sup> unknown internal driving policy  $\pi^E$  of human experts. At the <sup>80</sup> same time, this expert policy is nondeterministic due to natural <sup>81</sup> human imprecision and intentional adaptation, and able to gen-<sup>82</sup> eralize to new situations as, for example, new race tracks. In <sup>83</sup> this work, we aim to approach the problem of modeling this <sup>84</sup> behavior by:

1) identifying and understanding certain aspects of the most

important adaptation and learning mechanisms through
 related work and expert interviews;

<sup>88</sup> 2) using these findings to considerably extend a data-based
 <sup>89</sup> driver modeling approach;

3) evaluating the developed methods using data from pro-

fessional race drivers and a state-of-the-art motorsports
 simulation environment.

<sup>93</sup> Consequently, the resulting driver-specific control policy  $\pi^M$ <sup>94</sup> should be able to generalize to unseen tracks and exhibit cer-<sup>95</sup> tain adaptation characteristics of the human driver. We thereby <sup>96</sup> focus on the adaptation *result*, finishing laps with sufficient <sup>97</sup> performance.

## 98 B. Related Work

<sup>99</sup> This section discusses related work in all relevant <sup>100</sup> fields, from methods to analyze or achieve optimal racing <sup>101</sup> performance, to past work on the analysis, modeling, and imi-<sup>102</sup> tation of human driver behavior, and research on the analysis <sup>103</sup> of human adaptation behavior.

*Optimal Racing Performance:* To model the physics of a top car in different driving situations, a variety of approaches with different complexity is available [1]. In classical controltop based approaches, such vehicle models can be used to predict the driving behavior in standard maneuvers or to estimate the vehicle performance on a particular race track using lap time simulation approaches [7], [8], [9]. In the field of autonomous the driving or racing, more recent research aims to achieve optimal performance with (data-driven) model predictive <sup>112</sup> control (MPC) [10], [11], [12]. Furthermore, reinforcement <sup>113</sup> learning can be used to train an agent that outperforms human <sup>114</sup> drivers in simulated race environments [2], [13]. <sup>115</sup>

*HDiL Simulation and Analysis:* However, individual human <sup>116</sup> driver behavior, being an important component of the vehicledriver-entity, is often not sufficiently considered by these <sup>117</sup> methods. This fact encourages motorsport teams to utilize <sup>119</sup> HDiL simulation approaches, where the real driver operates <sup>120</sup> the vehicle within a realistic simulation environment, facilitating faster prototyping and more realistic predictions of the true vehicle performance [6]. Furthermore, HDiL simulators enable <sup>123</sup> the study of human driver behavior, for instance, perceptual and cognition skills of professional and nonprofessional race <sup>125</sup> car drivers [14]. <sup>126</sup>

Modeling of Human Driver Behavior: Accordingly, a vari- 127 ety of related work describes car racing from the driver's 128 perspective, analyzes racing techniques, driving lines, and the 129 complex decision-making processes in greater detail, and contributes to a better understanding of the human driver in 131 general [15], [16], [17]. Nevertheless, the task of modeling this 132 behavior remains highly challenging. A number of approaches 133 for building a driver model for different use cases mainly rely 134 on conventional control architectures in partial driving scenar- 135 ios [18], [19]. Using a cognitive architecture based on adaptive 136 control, the driving behavior is modeled in a highway environ- 137 ment [20]. Some recently developed methods utilize imitation 138 learning techniques to imitate human drivers: using supervised 139 learning, random forests were trained to predict car control 140 inputs from basic vehicle states [21] and it was shown that 141 a feedforward neural network is able to track a driving line 142 generated by a human [22]. Furthermore, methods based on 143 (inverse) reinforcement learning were used to mimic drivers in 144 highway driving scenarios [23], [24], [25], and were extended 145 to imitate human behavior in a short-term race driving setting 146 based on visual features [26]. By imitating a coach, rein- 147 forcement learning also enables end-to-end urban driving [27]. 148 Besides that, research also targets specific human individuals 149 [28], [29], [30] and hierarchical modeling [31]. These studies 150 give insights into autonomous driving and driver modeling, 151 but most of them are designed for urban driving and lack the 152 ability to adapt when used for race car driving. 153

*Probabilistic Modeling of Driver Behavior (ProMoD):* 154 Among the research on the modeling of human driver behavior, the ProMoD framework was demonstrated to be capable of completing full laps with a competitive performance by mimicking professional race drivers [3], [4]. The databased and modular approach learns distributions of driving lines represented by probabilistic movement primitives (ProMPs) [32], [33] and trains a recurrent neural network on human race driver data in a supervised fashion. Furthermore, the driver identification and metrics ranking algorithm (DIMRA) was developed to classify individual driving styles using clustering algorithms and was later used as an evaluation method for the learned driver model [4].

*Human Adaptation Behavior:* Related to this topic, there 167 seems to be a shift from linear and time-invariant mod- 168 els of human manual control to nonlinear and time-varying 169 <sup>170</sup> approaches that are apparent in current research trends [34]. 171 In particular, adaptation over time is identified as a key aspect <sup>172</sup> of human behavior that should and can be modeled by moving 173 toward time-varying models. While the ProMoD framework shown to work well in many situations, it is still lack-174 is 175 in g the functionality of a time-varying model, i.e., the ability learn to drive on unknown tracks and to adapt and learn 176 177 from gathered experience from driven laps. As such learning and adaptation aspects play fundamental roles in competitive 178 <sup>179</sup> motorsports, any robust and accurate driver modeling approach should be able to reflect them. 180

Human adaptation behavior w.r.t. adaption times for changing road types in a driving simulator is analyzed, yet not modeled in the work of [35]. Past research on modeling driver adaptation to sudden changes in the vehicle dynamics takes into account limb impedance modulation and updating of the driver's internal representation of the vehicle dynamics [36]. However, the latter work focuses exclusively on lateral dynamtes ics with a first-principles approach without a superordinate being objective such as lap time.

Among these approaches, ProMoD offers a solid foun-190 dation for this work, as the modeling approach is able to 191 <sup>192</sup> dynamically control a car in a race driving setting, mimick-<sup>193</sup> ing individual driver behavior without achieving super-human <sup>194</sup> performance. In this work, we considerably modify and extend 195 ProMoD to model human driving adaptation—to the best of <sup>196</sup> our knowledge, for the first time in the racing context. With the modular architecture, the driving policy adaptation remains 197 <sup>198</sup> interpretable. We considerably enhance the quality of a modern <sup>199</sup> driver modeling approach, contribute to a better understand-200 ing of human race driver behavior, and aim to pave the way 201 for more accurate vehicle simulations and, potentially, future 202 autonomous racing.

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## II. METHODOLOGY

As a proper understanding of the human race driver is fun-204 205 damental for modeling its learning techniques, we ground our methodology on key insights from literature, supplemented by 206 207 findings of an expert interview with a professional race engineer<sup>2</sup> for LMP1<sup>3</sup> race cars. To derive modeling principles, we 208 summarize these literature and expert insights into adaptation 209 principles and select the most distinct of them with the help 210 211 of a simple, questionnaire-based survey conducted with pro-212 fessional race drivers and expert motorsport engineers, as an 213 extra layer of expertise. The adaptation principles identified in <sup>214</sup> Section II-A are followed by a short summary of the recently <sup>215</sup> presented ProMoD driver modeling framework in Section II-B. <sup>216</sup> In Section II-C, we present a novel way to generalize the driver 217 model to new tracks. Finally, Section II-D introduces a new 218 method to optimize driving similar to a race driver based on 219 previous laps.



Fig. 2. Agreement levels of 12 experts with statements **S1–S9**. The experts were asked to choose an agreement level between 1 and 10 with step size 1. Red lines indicate the median. Boxes represent the interquartile range. The whiskers measure 1.5 times the interquartile range.

#### A. Adaptation Principles

Race drivers constantly pursue better racing performance in <sup>221</sup> the presence of new tracks and modified vehicle setups. In this <sup>222</sup> section, we aim to understand the most important principles for <sup>223</sup> their adaptation behavior. We gather the following key insights <sup>224</sup> from literature, extended with an expert interview<sup>4</sup> of a race <sup>225</sup> engineer in the Appendix. We aggregate these two sources of <sup>226</sup> insights into summarizing statements **S1–S9** detailed in the <sup>227</sup> following, and finally conduct a simple, questionnaire-based <sup>228</sup> survey to directly ask additional experts for their agreement <sup>229</sup> with these statements. In Fig. 2, we measure the agreement <sup>230</sup> of 12 additional experts (including drivers, race engineers, <sup>231</sup> vehicle engineers, and tire engineers) with the 9 statements. <sup>232</sup>

*Objective (Delta) Lap Time:* In order to (iteratively) <sup>233</sup> optimize lap time [37], race drivers pay attention to the delta <sup>234</sup> lap time, which is the difference between the current and the <sup>235</sup> last (or best) lap time<sup>9</sup> (**S1**). Modifications to the vehicle setup <sup>236</sup> and environmental changes are only considered a posteriori, <sup>237</sup> which means that race drivers usually do not plan with them, <sup>238</sup> but only react after experiencing them<sup>9</sup> (**S2**). <sup>239</sup>

*Risk Awareness:* Race drivers are particularly risk-aware and <sup>240</sup> constantly test for the vehicle limits [17], starting from a safe <sup>241</sup> region and improving their driving incrementally<sup>9</sup> (**S3**). <sup>242</sup>

*Hierarchy:* The choice of brake points heavily influences the <sup>243</sup> speed profile of the entire corner [16], [38]. Subsequently, the <sup>244</sup> speed profile heavily influences the driving line. Race drivers <sup>245</sup> control brake points, speed profile, and driving line hierarchi- <sup>246</sup> cally, in this order<sup>9</sup> (which means that brake points are the <sup>247</sup> main tuning knob) (**S4**). <sup>248</sup>

*Initialization—Driving on New Tracks:* When starting on <sup>249</sup> a new track, drivers tend to compare all new situations and <sup>250</sup> corners to their experience from other tracks [16], [38], to <sup>251</sup> get an initial guess of reasonable brake points and driving <sup>252</sup> lines, which are subsequently refined<sup>9</sup> (**S5**). The initialization <sup>253</sup> of brake points begins already before starting to drive, while <sup>254</sup> the speed profile and driving line are initialized during the <sup>255</sup> first few laps<sup>9</sup> (**S6**). After the first few laps, drivers are able to <sup>256</sup> complete the lap with a close to competitive lap time<sup>9</sup> (**S7**).

*Iteration—Adaptation Rules and Quantities:* The general <sup>258</sup> adaptation strategy seems to be similar for all drivers, where <sup>259</sup> adaptation of the braking (brake points and peak brake pres-<sup>260</sup> sure) is particularly important<sup>9</sup> (**S8**). By fine-tuning brake <sup>261</sup>

 $<sup>^{2}</sup>$ A race engineer works at the interface between the driver and the vehicle, trying to help the driver work with the vehicle and to find a vehicle setup tailored to the driver's needs.

<sup>&</sup>lt;sup>3</sup>Le-Mans-Prototypes represent a top class of race cars used in different endurance racing series with races lasting up to 24 h.

<sup>&</sup>lt;sup>4</sup>Findings from the expert interview are marked with this footnote. A summary of the interview is given in the Appendix.



Fig. 3. Top: Iterative adaptation process visualized as an optimization problem. Bottom: Three phases of driver adaptation to solve the above optimization problem, arranged in hierarchy-time plane. Dark color denotes findings from the expert interview and related work, whereas light color signifies how the respective findings are implemented in the adaptive model.

<sup>262</sup> points and peak brake pressure, drivers manage to achieve <sup>263</sup> better performances<sup>9</sup> (**S9**).

Overall, the agreement level of the additional experts with 264 <sup>265</sup> the above statements is high. The lowest median agreement is for S2 on environmental changes viewed as a disturbance. 7 266 The corresponding lower end of the interquartile range is 4, 267 much less than 7 (or higher) for all other statements. Hence, we do not base our subsequent design choices on S2. Further, 269 e observe outliers that might be connected to the diverse 270 backgrounds of the 12 experts. Two tire engineers strongly 271 disagree with S8 on braking being particularly important for 272 adaptation, applicative as a rule for all drivers. In contrast, both 273 asked drivers strongly agree with this statement. Since drivers 274 275 are the modeling target themselves, we decide to approve the 276 main expert's statement that brake points are the key control 277 variables. To summarize and simplify the problem, we set up 278 the following qualitative model: Race drivers optimize delta 279 lap time as a function of brake points, peak brake pressure, and 280 other variables as visualized in Fig. 3. This function is parameterized through the vehicle setup. To solve this problem, the 281 282 brake point variables are initialized in the Preparation phase in 283 a safe region, i.e., such that the lap can be completed. Speed <sup>284</sup> and driving line are initialized in hierarchical order during 285 the Warm-Up phase. Afterward, drivers iteratively adapt and 286 try out changes on all three hierarchical levels during Fine-Tuning.<sup>5</sup> Eventually, they arrive close to the optimizer shown a star on the top of Fig. 3. This point usually lies close 288 as the boundary of the safe set, as the driver will be operatto <sup>290</sup> ing the vehicle at the handling limits. As these generalization 291 and adaptation capabilities are fundamental for professional 292 race drivers, a driver model used for full vehicle simulations 293 is required to have them as well. In the following, the basic <sup>294</sup> ProMoD framework will be derived and subsequently extended 295 with these skills.

#### 296 B. ProMoD

<sup>297</sup> The recently presented ProMoD framework combines <sup>298</sup> knowledge and ideas from both race driver behavior and



Fig. 4. Original ProMoD framework to imitate human race drivers in simulation [4]: *Global Target Trajectory* holds a distribution of potential target driving lines, relating to the driver's mental image of a driving corridor. *Local Path Generation* and *Perception* calculate a feature vector based on the current situation on track and a sampled target driving line. *Action Selection* maps the features to driver actions. Feeding back the predicted actions to the simulation environment closes the loop.

autonomous driving architecture. It consists of multiple modules as visualized in Fig. 4, where each of these modules represents fundamental steps in the decision-making process of a human race driver [3], [4].

Our novel generalization and adaptation methods are based 303 on this architecture, which is summarized in the following. 304

*Global Target Trajectory:* Every driver keeps a mental <sup>305</sup> image of the whole race track in their head, knowing approximately where to brake, to turn in, and to accelerate again in <sup>307</sup> each corner. However, this imagined driving corridor is not <sup>308</sup> precise, i.e., it incorporates variance, and additionally changes <sup>309</sup> over time with gathered experience. Hence, we model the <sup>310</sup> global target trajectory with a distribution over potential driving lines, which could be interpreted as a driving corridor, <sup>312</sup> using ProMPs [32], [33]. For this purpose, both the spatial <sup>313</sup> and the temporal information of every demonstrated driving <sup>314</sup> line on a particular track is projected to a lower-dimensional <sup>315</sup> weight space. We define a series of equally distributed radial <sup>316</sup> basis functions (RBFs)

$$b_j(s) = \exp\left(-\frac{\left(s - c_j\right)^2}{2h}\right) \tag{1}$$

with function index  $j \in \{1, 2, ..., N_{BF}\}$ , track distance *s*, constant width *h*, and  $c_j$  being the equally distributed centers of the functions. All basis functions are assembled into the basis function matrix  $\mathbf{\Phi}_s \in \mathbb{R}^{N_s \times N_{BF}}$ , where the *j*th column contains  $b_j(s)$  evaluated at  $N_s$  points, equidistant in terms of track distance. Subsequently,  $\mathbf{\Phi}_s$  is aggregated into

$$\Psi_s = \operatorname{diag}(\Phi_s, \Phi_s, \dots, \Phi_s) \in \mathbb{R}^{nN_s \times nN_{\mathrm{BF}}}$$
(2) 325

for n variables that the trajectory consists of. The weight vector  $_{326}$ 

$$\boldsymbol{w}_{i} = \left(\boldsymbol{\Psi}_{s}^{T}\boldsymbol{\Psi}_{s} + \epsilon \boldsymbol{I}\right)^{-1}\boldsymbol{\Psi}_{s}^{T}\boldsymbol{\tau}_{s,i} \in \mathbb{R}^{nN_{\text{BF}}}$$
(3) 327

is derived using ridge regression for each demonstration  $_{328}$  trajectory  $\boldsymbol{\tau}_{s,i} \in \mathbb{R}^{nN_s}$  and regularization factor  $\epsilon$ . By fitting  $_{329}$ 

<sup>&</sup>lt;sup>5</sup>In the following, heuristically defined *control points* will be introduced for different vehicle states to directly adapt all three hierarchy levels.

<sup>330</sup> a Gaussian distribution  $\mathcal{N}(\boldsymbol{\mu}_{w}, \boldsymbol{\Sigma}_{w})$  over the *N* demonstration <sup>331</sup> weights with mean  $\boldsymbol{\mu}_{w}$  and variance  $\boldsymbol{\Sigma}_{w}$ 

$$\mu_{w} = \frac{1}{N} \sum_{i=1}^{N} w_{i} \in \mathbb{R}^{nN_{\mathrm{BF}}}, \qquad (4)$$

333 
$$\boldsymbol{\Sigma}_{\boldsymbol{w}} = \frac{1}{N} \sum_{i=1}^{N} (\boldsymbol{w}_{i} - \boldsymbol{\mu}_{\boldsymbol{w}}) (\boldsymbol{w}_{i} - \boldsymbol{\mu}_{\boldsymbol{w}})^{T} \in \mathbb{R}^{nN_{\mathrm{BF}} \times nN_{\mathrm{BF}}}$$
(5)

we are able to describe the distribution of driving lines for a driver on a particular track efficiently. Subsequently, an arbidemonstrations can be generated by sampling a weight vector make the distribution,  $w^* \sim \mathcal{N}(\mu_w, \Sigma_w)$ , and using

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$$\boldsymbol{\tau}^* = \boldsymbol{\Psi}_s \boldsymbol{w}^* \tag{6}$$

<sup>340</sup> to retrieve a new driving line in the original formulation <sup>341</sup> which could be subsequently used as *target trajectory*. While <sup>342</sup> sampling this target trajectory all at once models the human <sup>343</sup> driver's ahead-of-time plan based on experience and knowl-<sup>344</sup> edge of the whole track, real-time planning based on the <sup>345</sup> current state on the track is performed by ProMoD's *Local* <sup>346</sup> *Path Generation* module.

Local Path Generation: For any situation on track, a human driver continuously plans the upcoming path a few seconds ahead. We use this module to mimic the path planning by calculating constrained polynomials and multiple preview features<sup>6</sup> based on the current vehicle state and the *target trajectory*. These local path features are denoted as  $\mathbf{x}_{LP}$ .

*Perception:* In addition to the path planning features, each 353 354 driver relies on additional information about their surround-<sup>355</sup> ings, such as visual information or experienced accelerations. 356 These perception features, which mostly relate to basic vehicle states, are gathered inside this module and are denoted as  $\mathbf{x}_{P}$ . 357 Action Selection: The action selection process, i.e., the map-358 359 ping from the current state (as described by the feature vector  $= [\mathbf{x}_{LP} \ \mathbf{x}_{P}]$ ) to human-like control actions **a**, is learned 360 X <sup>361</sup> using a recurrent neural network. It is trained on all available demonstration data for a particular driver, aiming to imitate 362 <sup>363</sup> its individual driving style and incorporating the dynamics of the action selection process. 364

This modular and hierarchical structure, compared with 365 <sup>366</sup> end-to-end learning such as in [13], increases interpretability when tuning the driving behavior. After the recurrent neural 367 368 network, i.e., the action selector, is trained, it serves as a con-369 troller that drives the car by following the global reference 370 trajectory. Subsequently, by modifying the global reference 371 trajectory, the driver model can be adjusted for performance 372 or generalization. Compared with the direct adaptation of 373 the action selection policy (parameters of the recurrent neu-<sup>374</sup> ral network), the adaptation of the global reference trajectory 375 has the following advantages: 1) fewer parameters to update; <sup>376</sup> 2) an interpretable adaptation process; and 3) predictable and <sup>377</sup> understandable results. In the following, we present methods to <sup>378</sup> generalize and adapt this driver model in two different phases. 379 Section II-C introduces Track Generalization, addressing the

## Algorithm 1 Estimating a Driving Line Distribution + Sampling

 $\mu_{w}^{(\kappa'd)}, \Sigma_{w}^{(\kappa'd)} \leftarrow \text{BuildProMP}(\mathcal{D})$   $x'(s), y'(s), \kappa'(s) \leftarrow \text{BuildDrivingLine}(\mathcal{B}_{\text{left}}, \mathcal{B}_{\text{right}})$   $\mu_{w}^{(k')} \leftarrow \text{RidgeRegression}(\kappa'(s))$   $\mu_{w}^{(d')} \leftarrow \mathbf{0}$   $\Sigma_{w}^{(d')} \leftarrow \text{EstimateVariance}(\mu_{w}^{\kappa'}, \mu_{w}^{\kappa, dy}, \Sigma_{w}^{\kappa, dy})$ for  $i \leftarrow 1, N_{\text{samples}}$  do  $w_{i}^{*dy} \sim \mathcal{N}\left(\mu_{w}^{dy'}, \Sigma_{w}^{dy'}\right)$   $x_{i}^{*}(s), y_{i}^{*}(s) \leftarrow \text{Reconstruct}(x'(s), y'(s), w_{i}^{*dy})$   $\Delta t_{i}^{*}(s) \leftarrow \text{EstimateSpeed}(x_{i}^{*}(s), y_{i}^{*}(s), \mathcal{P})$ end for

*Preparation* and *Warm-Up* steps identified from the literature and interview (see Fig. 3). Section II-D describes *Feature Adaptation*, modeling the iterative *Fine-Tuning*.

## C. Track Generalization: Generate Driving Line 383 Distributions 384

In order to generate first laps on a new, yet unknown <sup>385</sup> track, it is required to learn a reasonable driving line distribution for the *Global Target Trajectory* module. All other <sup>387</sup> modules of ProMoD are track-independent by definition and <sup>388</sup> remain unmodified. Hence, we construct a driving line distribution for a new track based on its borders (assumed to <sup>390</sup> be known) and prior knowledge from other tracks. Inspired <sup>391</sup> by the results from Section II-A, we propose the methodology described in Algorithm 1. We utilize a novel ProMP <sup>393</sup> description, conventional methods to fit driving lines based on <sup>394</sup> geometric boundaries, and a method to estimate the variance <sup>395</sup> of the driving line around the track based on experience from <sup>396</sup> other tracks. <sup>397</sup>

ProMPs on Demonstration Data: To encode prior knowl- 398 edge from other tracks, we use all available driving line 399 data from all known tracks  ${\cal D}$  and calculate ProMPs with a 400 modified representation as driving line distributions for each 401 track separately. In particular, we take the vehicle positions 402 in the Cartesian space for all laps on a given track and map 403 them to a curvilinear description x(s),  $y(s) \mapsto dy(s)$ ,  $\kappa(s)$  404 for each track. Thereby, dy represents the lateral deviation 405 from a reference line and  $\kappa$  the line curvature, both based 406 on the reference line distance s. While there is an overlap 407 between the information in dy and  $\kappa$ , both representations 408 are needed for subsequent calculations. Similar to the com- 409 putation of RBF weights via ridge regression in the Cartesian 410 space, driving lines are now represented by weight vectors 411  $w^{dy}$  and  $w^{\kappa}$  for dy,  $\kappa$ , and RBFs in the curvilinear space with 412 equidistant discretization. Assuming a Gaussian distribution, 413 we retrieve mean weight vectors  $\mu_w^{\kappa}$ ,  $\mu_w^{dy}$  and variances  $\Sigma_w^{\kappa}$ , 414  $\Sigma_w^{dy}$  to describe the distribution of all available driving lines 415 on a particular track. By iterating this process for all avail- 416 able tracks, we can aggregate all driving line information into 417  $\mu_{w}^{\kappa,dy}$ ,  $\Sigma_{w}^{\kappa,dy}$ . In the following, we estimate a driving line dis- 418 tribution for an unknown track by combining this stochastic 419 information with a conventional path planning method.

*Generate Mean Driving Trajectory:* We start by estimating a 421 mean driving trajectory which is only based on the given track 422

<sup>&</sup>lt;sup>6</sup>Examples are a predicted lateral offset or a predicted speed difference from the target driving line. More details are given in [4].

<sup>423</sup> boundaries  $\mathcal{B}_{left}$  and  $\mathcal{B}_{right}$ . As the generation of a reasonable 424 and collision-free path around the track is required, we decide <sup>425</sup> to use Elastic Bands [39], [40]. While being computationally 426 efficient and easy to interpret, this method exhibited reasonable riving line estimates with sufficient accuracy. The resulting 427 dı 428 trajectory is now taken as the reference and mean driving line for the new track. Similarly to the ProMP calculation on the 429 available demonstration data, the curvature  $\kappa'(s)$  of this Elastic 430 Band driving line is projected to the lower-dimensional weight 431 432 space and set as the mean curvature  $\mu_w^{\kappa'}$  with  $\mu_w^{dy'} = 0$  by 433 definition.

Variance Estimation: Using this mean trajectory and the existing corner information from other tracks, we estimate the variance with a sliding window approach. For this purpose, we are moving along the estimated mean driving line's curwe are moving along the estimated mean driving line's cursequence of curvatures, to all situations on all known tracks as encoded in  $\mu_{w}^{\kappa,dy}$ ,  $\Sigma_{w}^{\kappa,dy}$ . By finding the most similar cortant ner measured by the absolute difference between curvatures, we are now able to iteratively build  $\Sigma_{w}^{dy}$ , which describes the variance of driving lines on the new track.<sup>7</sup>

Sampling and Reconstruction: Using the Elastic Band estitation matrix x'(s), y'(s) for the mean driving line and the modified tate ProMP  $\mu_w^{dy'} = 0$ ,  $\Sigma_w^{dy'}$  describing the lateral deviation from the mean, we are now able to sample new driving lines for tates the new track. In particular, we draw a sample weight vector  $w_i^{*dy} \sim \mathcal{N}(\mu_w^{dy'}, \Sigma_w^{dy'})$  and retrieve the lateral deviation  $dy_i^*(s)$ as  $\Phi_s w_i^{*dy}$ . Now, it is possible to construct a sample driving trajectory in the Cartesian space using

452 
$$x_i^*(s) = x'(s) - \sin(\phi_i^*(s))dy_i^*(s)$$
(7)

453 
$$y_i^*(s) = y'(s) + \cos(\phi_i^*(s))dy_i^*(s)$$

<sup>454</sup> where  $\phi_i^*$  is the mean heading angle of the vehicle and equals <sup>455</sup> 0 when the vehicle drives purely into *x*-direction.

Speed Profile: In addition to the trajectory of the vehicle, ProMoD requires a speed profile for the *Local Path Generation* module. Since this velocity profile depends on the vehicle and tso its setup and is hard to estimate using the available demonstration data, we follow a more robust approach based on vehicle dynamics. For each sampled vehicle trajectory  $x_i^*(s)$ ,  $y_i^*(s)$ , we ter utilize a conventional lap time estimation approach based on the vehicle performance envelope  $\mathcal{P}$  to retrieve an approximate speed profile [7], [41].

Simulation: The sampled driving lines with corresponding speed profiles can now be used to reconstruct the original ProMP formulation within the previously presented ProMoD framework. Initializing with a reduced performance envelope P represents the *Preparation* phase on a new track and allows for safely simulating first laps. By iteratively expanding  $\mathcal{P}$ and simulating the resulting driving lines and speed profiles, ProMoD is able to cautiously approach the vehicle limitations, aiming to mimic the *Warm-Up* phase. The complete process



Fig. 5. Feature adaptation (red) extending the original ProMoD framework (gray), consisting of feature calculation (summarizes *Local Path Generation* and *Perception*), *Action Selection*, and the simulation environment. Every finished lap is analyzed and the reference trajectory is adapted correspondingly.

facilitates simulations on new tracks for which no demonstration data exists, enhancing our driver modeling framework 475 with track familiarization abilities to generate first fast laps. 476 After becoming familiar with a track, human drivers continuously optimize their performance, as shown in Section II-A. 478 Hence, ProMoD should also be adaptable and learn from 479 experience, which necessitates adaptation techniques. 480

#### D. Feature Adaptation

Professional race drivers master the skill of continuously 482 optimizing their performance by analyzing past laps and adapting accordingly. With an additional feedback loop as shown in 484 Fig. 5, ProMoD is enabled to mimic this learning process to 485 a certain extent. By only adapting the global target trajectory, 486 which is used to compute local path planning features  $x_{LP}$ , 487 the behavior of ProMoD can be influenced. At the same time, 488 action selection module remains unchanged. In the following, 490 we use *Conditioning* and *Scaling* to modify the global target 491 trajectory while keeping it human-like: 492

Conditioning: Recall that the ProMPs for the global target 493 trajectory are represented by a Gaussian weight distribution  $p(w) = \mathcal{N}(w | \mu_w, \Sigma_w)$  with mean weight vector  $\mu_w$  495 and covariance matrix  $\Sigma_w$ . We are now able to alter this 496 distribution by conditioning the prior distribution to a new 497 (algorithmically chosen) observation  $\mathbf{x}_{s'}^* = \{\mathbf{y}_{s'}^*, \Sigma_y^*\}$  at a specific location s = s', as presented in [33]. Here, the control 499 point  $\mathbf{y}_{s'}^* \in \mathbb{R}^n$  is an algorithmically chosen target state (see 500 Paragraph Adaptation Process for details) of the vehicle position and velocity to be reached at distance s', and variance 502  $\Sigma_y^* \in \mathbb{R}^{n \times n}$  is the confidence of this choice. The conditional distribution  $p(w | \mathbf{x}_{s'}^*)$  remains Gaussian with updated 504 parameters

$$\boldsymbol{\mu}_{w}^{[\text{new}]} = \boldsymbol{\mu}_{w} + L \big( \boldsymbol{y}_{s'}^{*} - \boldsymbol{\Psi}_{s}^{T} \boldsymbol{\mu}_{w} \big), \tag{9} \quad 506$$

$$\boldsymbol{\Sigma}_{\boldsymbol{w}}^{[\text{new}]} = \boldsymbol{\Sigma}_{\boldsymbol{w}} - \boldsymbol{L}\boldsymbol{\Psi}_{\boldsymbol{s}'}^T \boldsymbol{\Sigma}_{\boldsymbol{w}}$$
(10) 507

where

(8)

$$\boldsymbol{L} = \boldsymbol{\Sigma}_{\boldsymbol{w}} \boldsymbol{\Psi}_{\boldsymbol{s}'} \left( \boldsymbol{\Sigma}_{\boldsymbol{y}}^* + \boldsymbol{\Psi}_{\boldsymbol{s}'}^T \boldsymbol{\Sigma}_{\boldsymbol{w}} \boldsymbol{\Psi}_{\boldsymbol{s}'} \right)^{-1}$$
(11) 509

relates the variances of the prior distribution and the new 510 observation with  $\Psi_{s'} \in \mathbb{R}^{nN_{\text{BF}} \times n}$  representing the value of all 511 basis functions at s = s' [33].

481

<sup>&</sup>lt;sup>7</sup>We use the curvature  $\kappa$  to find similar corners since it naturally describes the corner shape. The lateral deviation dy is used for sampling, as it allows for a more robust reconstruction.



Fig. 6. Masking the covariance matrix. (a) Part of the covariance matrix for a single variable ( $\Sigma_{\Delta t \Delta t} \in \mathbb{R}^{N_{\text{BF}} \times N_{\text{BF}}}$ ), where brighter colors indicate higher covariances. Far-off-diagonal correlations in the data potentially result from different vehicle setups in the demonstration data but are difficult to consider during conditioning. (b) Factor matrix for a single variable, where the elements on the diagonal are one, and off-diagonal entries are fading out to zero using bandwidth k. Here, k is selected such that distant and nonconsecutive turns cannot mutually influence each other. (c) Resulting matrix  $\Sigma_{w}^{\text{masked}} \in \mathbb{R}^{nN_{\text{BF}} \times nN_{\text{BF}}}$  for three variables after masking, filtering out correlations over larger distances.

This procedure allows to move brake points or to shift 513 <sup>514</sup> apexes<sup>8</sup> by conditioning the prior distribution utilizing a set of 515 rules derived from Section II-A. In the meantime, the correla-516 tions between different locations are taken into consideration 517 by the covariance matrix which is learned from the data so that <sup>518</sup> the whole trajectory is modified correspondingly. However, when using the prior variance without further consideration, 519 520 conditioning at a specific turn potentially affects distant turns due to nonzero covariances in the data, as shown for  $\Sigma_{\Delta t \Delta t}$  in 521 Fig. 6(a). As such a large effect across multiple turns is not 522 considered to be human-like, we aim to reduce it by mask-523 <sup>524</sup> ing the original matrix using a factor matrix  $\mathcal{F}_k \in \mathbb{R}^{N_{BF} \times N_{BF}}$ s25 shown in Fig. 6(b). By multiplying  $\mathcal{F}_k$  element-wise with each <sup>526</sup> submatrix of  $\Sigma_w$ , we retrieve a masked matrix for conditioning

$$\Sigma_{w}^{\text{masked}} = \begin{bmatrix} \mathcal{F}_{k} \circ \Sigma_{xx} & \mathcal{F}_{k} \circ \Sigma_{xy} & \mathcal{F}_{k} \circ \Sigma_{x\Delta t} \\ \mathcal{F}_{k} \circ \Sigma_{yx} & \mathcal{F}_{k} \circ \Sigma_{yy} & \mathcal{F}_{k} \circ \Sigma_{y\Delta t} \\ \mathcal{F}_{k} \circ \Sigma_{\Delta tx} & \mathcal{F}_{k} \circ \Sigma_{\Delta ty} & \mathcal{F}_{k} \circ \Sigma_{\Delta t\Delta t} \end{bmatrix}$$
(12)

<sup>528</sup> which effectively lowers the influence of conditioning on dis-<sup>529</sup> tant regions as shown in Fig. 6(c).<sup>9</sup> This matrix can then <sup>530</sup> replace  $\Sigma_w$  for effective local *Conditioning*.

Scaling: In order to fully utilize the vehicle's potential on straights, the speed profile can be adapted to influence the the actuation and braking behavior of ProMoD. Since straights the neural network performs trajectory tracking, aiming to minimize the control error between the reference speed and

#### Algorithm 2 Adaptation Process

```
Input: \mu_w^0, \hat{\Sigma}_w^0, envelope
        \leftarrow PROCESS VARIANCE(\hat{\boldsymbol{\Sigma}}_{\boldsymbol{w}}^{0})
\Sigma_{w}^{0}
\tau_0^{ref}
         \leftarrow CALCMEANTRAJECTORY(\mu_{\mu}^0)
\mathbf{\mathcal{I}}^{track} \leftarrow \text{ANALYSETRACK}(\mathbf{\tau}_{0}^{ref})
for i = 0, 1, 2, \dots do
       \tau_i \leftarrow \text{SIMULATE}(\tau_i^{ref})
            = \emptyset
       if not ISCOMPLETED(\tau_i) then
              y_s^* \leftarrow y_s^* \cup \text{ANALYSEDL}(\tau_i, \mathcal{I}^{track}, \text{envelope})
              if y_s^* == \emptyset or SLIPCHECK(\tau_i, \mathcal{I}^{track}) then
                     y_s^* \leftarrow y_s^* \cup \text{ADAPTSPEED}(\tau_i, \mathcal{I}^{track})
              end if
       else
                          y_s^* \cup CHECKINENVELOPE(\tau_i, envelope)
       end if
\mu_{w}^{i+1,0}, \Sigma_{w}^{i+1,0} = \mu_{w}^{i+1}, \Sigma_{w}^{i+1}
       for j = 1, 2, ..., number of items in y_s^* do

\mu_w^{i+1,j}, \Sigma_w^{i+1,j} \leftarrow \text{COND}(\mu_w^{i+1,j-1}, \Sigma_w^{i+1,j-1})
       end for
                  \leftarrow CALCMEANTRAJECTORY(\mu_{w}^{i+1})
       if isCompleteD(\tau_i) then
              \boldsymbol{\tau}_{i+1}^{\prime e_{j}} \leftarrow \text{SPEEDSCALING}(\hat{\boldsymbol{\tau}}_{i+1}^{ref}, \boldsymbol{\tau}_{i}, \boldsymbol{\mathcal{I}}^{track})
             \tau_{:}^{ref}
                           \leftarrow \hat{\boldsymbol{\tau}}_{i+1}^{ref}
      end if
end for
```

the actual speed, its output signals tend to fluctuate during 536 intervals of full throttle. Therefore, if the actual velocity is 537 larger than the reference velocity, ProMoD tends to accelerate 538 less, even if the virtual driver is on a straight and expected to 539 drive as fast as possible. This problem can be effectively solved 540 by smoothly scaling the reference speed on long straights. 541

*Adaptation Process:* The complete adaptation process, <sup>542</sup> shown in Algorithm 2, is inspired by the insights from <sup>543</sup> Section II-A and uses both introduced methods, *Conditioning* <sup>544</sup> and *Scaling*, to continuously adapt ProMoD based on gathered <sup>545</sup> experience. After simulating a lap, an initial check is done <sup>546</sup> whether the lap was completed successfully. If this is not the <sup>547</sup> case, the situation where the vehicle left the track is analyzed <sup>548</sup> and the ProMP is conditioned using two subprocedures. <sup>549</sup>

- 1) Driving-Line Check and Adaptation: As seen in 550 Section II-A, the turn-in is the most important phase 551 during cornering. Hence, the driving line is compared 552 to the permissible driving corridor, represented by track 553 borders or by the envelope of all demonstrations from 554 the human drivers, and the largest deviation before the 555 apex is found. Then, a new control point  $y_{s'}^*$  is added for 556 *Conditioning* at this position, shifting the driving line 557 distribution toward the permissible area. 558
- Velocity Adaptation: If no valid adaptation is found or 559 extreme tire slip occurs, a control point will be added 560 to reduce the target speed shortly before the track was 561 left. 562

In practice, ProMoD can eventually complete each critical corner when the target speed is low enough. Subsequently, the completed laps can be further adapted to improve the lap time and to keep the driving line in the envelope by: 566

 Checking and reducing smaller deviations from the permissible driving corridor: Just like during real racing, 568 ProMoD sometimes slightly exceeds the theoretically 569 allowed driving corridor but still manages to complete 570

<sup>&</sup>lt;sup>8</sup>An apex is defined as the closest point to the inner side of a corner, typically coinciding with the locally maximal curvature of the driving line.

<sup>&</sup>lt;sup>9</sup>While the assumption of a fixed bandwidth k is not entirely human-like, it turned out to be sufficient to introduce the required adaptation characteristics. Future work may focus on finding a variable, distance-dependent masking to further enhance human likeness.

582

the lap. These situations are checked and additional control points are introduced for *Conditioning*.

573 2) Checking acceleration intervals and *Scaling* of the speed:

574 As discussed before, sometimes ProMoD does not utilize

the full vehicle potential during acceleration phases on straight lines. Hence, speed scaling is used to further

<sup>577</sup> increase the performance on already completed laps.

<sup>578</sup> By introducing this process, we are able to encourage <sup>579</sup> ProMoD to learn from the experience of previous laps, to <sup>580</sup> correct mistakes, and to increase performance, matching the <sup>581</sup> requirements illustrated in Fig. 3.

#### III. EVALUATION

In this work, we use data of professional race drivers gathered from the HDiL simulator shown in Fig. 1 to train and evaluate our driver model. All rollouts of our driver model are simulated using the same in-house developed vehicle model dynamics and facilitating comparability to the human demonstrations. The task of driving the simulated race car is highly challenging as its only driver assistance system is *Traction Control.* In order to safeguard intellectual property, all plots in this article are shown normalized.

#### 593 A. Track Generalization

<sup>594</sup> We evaluate the presented track generalization method of <sup>595</sup> our ProMoD framework on two race tracks, Motorland Aragón <sup>596</sup> (AGN) and the Yas Marina Circuit in Abu Dhabi (ABD), and <sup>597</sup> exclude demonstration data from these tracks during training. <sup>598</sup> For each track, we initially estimate driving line distributions <sup>599</sup> according to the methodology presented in Section II-C and <sup>600</sup> draw  $N_{\text{samples}}$  driving lines from these distributions. When <sup>601</sup> using these driving line samples for simulation on the corre-<sup>602</sup> sponding unknown tracks, ProMoD is capable of completing <sup>603</sup> full laps on the respective race track, as visualized in Fig. 7 <sup>604</sup> for ABD.

For AGN, the track generalization method achieves comparable results considering the similarities of the resulting driving line and driver action distributions with the human driver. Furthermore, we compare the performance of ProMoD and the human driver on both tracks with equal vehicle setups. Fig. 8 visualizes the resulting lap time distributions, normaltil ized to the median lap time of the human driver on each track, respectively.

Here, ProMoD is able to achieve lap times close to those of the human driver, with a slightly increased median due to small deviations in the expected speed profiles as visible of in Fig. 7(a) between reference distances 0.1 and 0.2. These deviations result from the herein utilized conventional lap simulation approach [7], [41] that marginally underestimates the available acceleration potential and hence permissible speed of the vehicle in dynamic situations. This is a reasonable limitatae safely finish first laps on a new track with a close to competitive performance. In contrast to baseline machine learning models and also to conventional lap simulation approaches [7], [41] that rely on simplified vehicle models and do not consider human characteristics, extensive evaluations of the <sup>626</sup> basic ProMoD framework in earlier research [3], [4] already <sup>627</sup> demonstrated that the framework can robustly mimic human <sup>628</sup> driving styles in a variety of settings. These findings are underlined by an extended evaluation of the adapted ProMoD model <sup>630</sup> in the following section. <sup>631</sup>

#### B. Feature Adaptation

The feature adaptation process is tested on two different <sup>633</sup> tracks, the Silverstone Circuit (SVT) and Motorland Aragón <sup>634</sup> (AGN), as these tracks turned out to be particularly difficult <sup>635</sup> to finish for the driver model and, hence, are a suitable environment to demonstrate the applicability of our method. We <sup>637</sup> start with an evaluation of the local effects of *Conditioning* <sup>638</sup> and *Scaling* by showing the executed adaptations, the resulting changes in terms of driving line, and the selected actions <sup>640</sup> of the driver model. Subsequently, we test the complete adaptation process on both tracks, showing that the method is <sup>642</sup> able to pass previously unfinished turns and to improve lap <sup>643</sup> time.

Local Effect—Adaptation: The local effects of adaptation 645 are presented in Figs. 9 and 10, visualizing adaptations of the 646 driving line and the speed profile, as well as the resulting 647 action signals and driven lines. Here, ProMoD fails initially 648 at Turn (T) 6/7 of SVT due to considerably exceeding the 649 vehicle potential as shown in Fig. 9(b). In order to adapt the 650 speed profile effectively, three control points are used to set 651 the lower peak speed value, resulting in earlier braking and 652 consequently helping to avoid the mistake and pass the turn. 653 At the same time, with the purpose of reducing the curvature 654 and avoiding corner-cutting, the driving line is pulled outwards 655 around fifty meters before the first apex as shown in Fig. 9(a). 656 After two iterations of simultaneously adapting both the speed 657 profile and the driving line, ProMoD succeeds in this turn. 658 Note that such intermediate iterations are part of our modeling 659 algorithm and not part of the adaptation model itself, that 660 is resembled by the final iterate of speed profile and driving 661 line.

*Local Effect—Scaling:* Scaling is particularly useful on 663 straights if ProMoD initially does not fully utilize the vehicle potential due to a modified vehicle setup and a too 665 conservative prior target speed definition. Its effect becomes 666 apparent when observing the throttle actuation signal. With 667 a higher reference speed, the model tends to utilize full 668 throttle more often on long straights, as shown in Fig. 11. 669 Consequently, the fluctuations of the throttle signal in those 670 intervals are eliminated, and the lap time is improved by about 671 0.2 s. 672

Adaptation Process: The developed adaptation process for 673 ProMoD has been successfully tested on SVT and AGN as 674 visualized in Fig. 12. While it requires four iterations to complete SVT, ProMoD needs more iterations for AGN since it 676 fails at more locations. On both tracks, the learning speed is 677 slower compared to a race driver, but ProMoD ultimately succeeds in completing a lap after less than 20 iterations, with 679 at most five iterations for a problematic turn. To indicate the 680 adaptation progress, the lap progress and the portion of the 681



Fig. 7. Track Generalization results on ABD: We compare five laps of the human driver (dark gray) to five laps of the track-generalized ProMoD framework (red) with an identical vehicle setup. (a) Comparison of the driver actions and the resulting speed profiles over the normalized track reference distance. Here, ProMoD is able to approximately reproduce the throttle, braking, and steering activity of the real driver considering the braking points, actuation speeds, and amplitudes. The velocity profile shows small deviations after the first corner where ProMoD does not fully utilize the vehicle potential due to a slightly over-conservative speed profile estimation in this region. (b) Resulting simulated driving lines around the track (light gray) where numbers indicate the reference distance. The position of the start/finish line and the driving direction is indicated by the bright blue triangle. Here, ProMoD is able to generalize and approximately follows the demonstrations of the human driver even though they were not used during for this race track. Some deviations are present at particularly challenging locations (e.g., the hairpin corner on the left), which, however, do not prevent ProMoD from finishing the lap with reasonable performance. These deviations may be reduced by using adaptation methods to learn from the gathered experience on the track.

lap with full throttle are plotted over the number of iterations,
corresponding to the objective of finishing laps and optimizing
the lap time, respectively, while imitating the human drivers.

*DIMRA:* Finally, we use DIMRA to evaluate the adapted 685 model regarding the similarity of its driving style to that 686 of the target human driver [4]. In Fig. 13, each marker 687



Fig. 8. Lap time comparison for track generalization on race tracks ABD and AGN: Times are normalized to the median demonstration lap time of the corresponding track. The whiskers correspond to the minimum/maximum values, the boxes indicate the upper/lower quartiles, and the thick central line shows the median value. Here, ProMoD is able to finish laps on unknown race tracks, less than 0.5% slower than the human driver in the median and at a competitive pace for its fastest laps. The slightly slower median lap time might be a result of a yet nonoptimal speed profile or driving line distribution.



Fig. 9. Adaptation of the target line for T6/7 on SVT and the resulting driven paths. (a) Prior (black) and posterior (red) target lines. The posterior target line is pulled outwards before the first apex using a control point at corner entry, as ProMoD initially exceeded the vehicle potential and left the track. (b) Resulting lines driven by ProMoD. After simultaneous adaptation of the target line and the velocity profile, ProMoD is able to successfully finish this turn.

<sup>688</sup> represents a single lap with three metrics characterizing the <sup>689</sup> individual driving style: throttle speed, brake speed, and the <sup>690</sup> time of simultaneously pressed brake and throttle pedals.



Fig. 10. Target speed and resulting vehicle states and driver actions over the normalized segment distance before and after adaptation (two iterations) of the target speed profile for T6/7 on SVT: Via three control points, the target speed profile is adapted while its general shape is preserved. The car balance refers to the dynamic driving state. When operated close to the friction limit (e.g., while cornering), the car balance typically assumes an oversteer (over-rotating, negative values) or understeer (under-rotating, positive values) state [1]. Before adaptation, at normalized segment distance 0.25, the vehicle oversteers and ProMoD is able to recover the vehicle by countersteering, at the cost of losing speed. However, at distance 0.65, ProMoD largely exceeds the grip potential, sliding over both axles which forces the vehicle off the track [see Fig. 9(b)]. After adapting the speed profile and driving line, ProMoD is able to keep the vehicle safely on track. Via Action Selection, ProMoD automatically increases the braking force during the first turn-in, accelerates later, and lifts the throttle and brakes earlier for the following turn.

This plot indicates that after adaptation, the driver model 691 remains capable of mimicking the individual characteristics of 692 a specific driver while considerably differing from the others. 693



Fig. 11. Effect of *Speed Scaling* on straights: After scaling, ProMoD effectively utilizes the longitudinal potential of the vehicle and uses full throttle on most straights. For intervals where ProMoD would fail in subsequent turns due to the increased speed, scaling is prevented.



Fig. 12. Adaptation progress of ProMoD on AGN and SVT: For both tracks, ProMoD succeeds in completing a previously unfinished lap within 20 iterations, shown by lap progress (lp). The portion of full throttle is denoted by ft, where average expert values are 0.6152 and 0.5289 on SVT and AGN, respectively. Additional iterations can be used to further increase performance.

## IV. CONCLUSION

In this article, we collect insights into the general adaptation 695 696 behavior and the learning processes of professional race drivers and derive new methods to extend ProMoD, an 697 advanced modeling method for race driver behavior. With 698 the purpose of understanding driver behavior in general and 699 700 identifying the most important adaptation processes, this work starts with key insights from related work and experts 701 702 inside and outside of the cockpit. Based on this acquired 703 knowledge, we develop a novel method that estimates human-704 like driving line distributions for unknown tracks. These distributions can be used to simulate complete laps with almost 705 706 competitive performances and human-like driver control inputs in a professional motorsport driving simulator. Subsequently, 707 we present a feature adaptation method that allows ProMoD 708 709 to learn from the gathered experience of previous laps. We



Fig. 13. Top three DIMRA driving style metrics of ProMoD and human drivers on SVT. ProMoD accurately mimics the individual driving style of driver A while still being distinguishable from two other professional race drivers.

demonstrate the model's ability to continuously learn from 710 mistakes and to improve driving performance in terms of lap 711 completion and time. This work contributes to the modeling 712 and a better understanding of driver behavior, paving the 713 way for advanced full-vehicle simulations with consideration of the human driver and potentially future autonomous 715 racing. 716

Due to its modular architecture, ProMoD might be extended 717 in various ways in future research. For feature adaptation 718 and optimization, new methods may be introduced such as 719 generating a more human-like masking matrix. Besides that, 720 the neural network of the Action Selection module could be 721 adapted to learn from experience using reinforcement learn- 722 ing techniques, or real track data may be used to provide more 723 demonstration data. In order to better understand and model 724 the efficient and complex adaptation process of human race 725 drivers, approaching our modeling problem from the perspec-726 tive of behavioral science is worth to be explored. On top of 727 the development of the new adaptation methods, additional 728 performance criteria related to the human adaptation process 729 over subsequent laps could be defined for a more holistic 730 assessment of the adaptation methods and improvement of the 731 model. Furthermore, human-like qualitative feedback, which is 732 based on encountered problems during driving, could help to 733 further support the vehicle development process. In addition, 734 our driver model may be extended to a multiagent environment 735 with opponents on the race track, facilitating a more accurate 736 prediction of true racing performance and potentially optimiz- 737 ing full racing strategies. Finally, ProMoD might be applied to 738 similar use cases with the target of modeling human behavior 739 in dynamic environments with small stability margins. 740

#### Appendix

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### EXPERT INTERVIEW

*Is there a universal adaption rule that applies to all drivers* 743 *and tracks?* 744

Indeed, it turns out that adaption strategies are very similar 745 across different drivers, tracks, and vehicles, in spite of the 746 individual driving behavior, the various layouts of the tracks 747 and the continuously modified vehicle setups. The driver's 748 <sup>749</sup> main goal is to "brake as late as possible, and accelerate as <sup>750</sup> early as possible." The resulting driving line, the turn-in, and <sup>751</sup> the on-throttle behavior are seen as a consequence of pursuing <sup>752</sup> that goal.

753 How do drivers drive their first laps on a new track?

When faced with a new track, what a driver would do can be divided into three phases: 1) preparation; 2) warm-up; and subsequent fine tuning.

1) Preparation: Drivers come to a new track with a mem-757 orized "database of corner information," collected from 758 their prior experience, simulator sessions, statistical data, 759 etc. First, drivers characterize each new corner by com-760 paring it with those in their memory and assemble a first 761 guess of the driving line. Since every corner is unique, 762 this first guess is usually a rough approximation. At this 763 point, it is helpful to consult other drivers to improve 764 the initial guess. Finally, they set brake points, utilizing 765 signs in the environment such as brake markers. Having 766 concretized all prior information and exchanged opin-767 ions with fellow drivers of specific positions for hitting 768 the brake pedal, the drivers start their first laps on a new 769 track. 770

2) *Warm-Up:* Race drivers are particularly talented in assessing risk. They usually start off with a slow and safe speed profile, which they adapt from lap to lap to higher velocities. This process can take very few iterations. For example, one driver managed to reach a competitive lap time on the Le Mans circuit surprisingly after only five laps.

3) *Fine Tuning:* After warming up, drivers are able to complete the lap with a close to competitive lap time, which they then try to improve incrementally. Usually, drivers do not reach a global optimum but are aware of how to improve. High- and changing-speed corners are the most difficult ones, where spinning should be prevented, as it is extremely difficult to control.

Which quantities do race drivers adapt and how? Do they respanse pay attention to specific metrics?

Although the goal of improving lap time is sound and
clear, the real optimization process is indeed very complicated, and many factors have to be taken into consideration. The following three aspects are most critical during
optimization.

*Delta Lap Time:* The adaption behavior of race drivers
 is result-oriented. They are not paying much attention to

- the exact speed values at local points around the track,
  but rather to the lap time difference to the previous or
  best lap. The association with the optimization problem
- <sup>797</sup> is visualized on the top of Fig. 3.
- 2) *Brake Point:* Hitting the brake is where the corner starts.
  It is the most crucial tuning knob, not only because it influences the speed profile, but also since it is the source of any issues arising throughout the following corner.
  I.e., all issues should be traced back to the brake point, and cannot be locally analyzed.
- BO4 3) Peak Brake Pressure: The driver attempts to predict
   the future state of the car when making decisions. In
   the presence of slip, however, uncertainty about the

vehicle state is introduced, eventually leading to wrong <sup>807</sup> predictions by the driver. Therefore, slip management <sup>808</sup> is crucial during cornering, with the maximum brake <sup>809</sup> pressure helping to anticipate imminent slip. <sup>810</sup>

How do race drivers behave when the vehicle setup is 811 modified? Will they preadapt their strategy according to the 812 setup? 813

It is extremely complicated to analyze the car and the behavior of the driver simultaneously. Therefore, when new vehicle setups are tested, the drivers do not and are not expected to have much idea of what has been adapted on the car. Sometimes, race engineers would do blind tests in order to isolate the influences of the modified setups from those of the drivers.

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- AQ6: Please provide the educational background for the author J. Peters.

# An Adaptive Human Driver Model for Realistic Race Car Simulations

Stefan Löckel, Siwei Ju<sup>®</sup>, Maximilian Schaller<sup>®</sup>, Peter van Vliet<sup>®</sup>, and Jan Peters<sup>®</sup>

Abstract—Engineering a high-performance race car requires a <sup>2</sup> direct consideration of the human driver using real-world tests or 3 human-driver-in-the-loop simulations. Alternatively, offline sim-4 ulations with human-like race driver models could make this 5 vehicle development process more effective and efficient but are 6 hard to obtain due to various challenges. With this work, we 7 intend to provide a better understanding of race driver behavior 8 from expert knowledge and introduce an adaptive human race 9 driver model based on imitation learning. Using existing find-10 ings in the literature, complemented with an interview with a 11 race engineer, we identify fundamental adaptation mechanisms 12 and how drivers learn to optimize lap time on a new track. 13 Subsequently, we select the most distinct adaptation mechanisms 14 via a survey with 12 additional experts, to develop generalization 15 and adaptation techniques for a recently presented probabilis-16 tic driver modeling approach and evaluate it using data from 17 professional race drivers and a state-of-the-art race car simu-18 lator. We show that our framework can create realistic driving 19 line distributions on unseen race tracks with almost human-like 20 performance. Moreover, our driver model optimizes its driving 21 lap by lap, correcting driving errors from previous laps while 22 achieving faster lap times. This work contributes to a better 23 understanding and modeling of the human driver, aiming to 24 expedite simulation methods in the modern vehicle development 25 process and potentially supporting automated driving and racing 26 technologies.

AQ1

AO2

AQ3

27

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Index Terms—

## I. INTRODUCTION

<sup>29</sup> T HROUGHOUT more than 125 years of motorsports his-<sup>30</sup> tory, the fundamental goal of all participants did not <sup>31</sup> change: reaching the best racing performance among competi-<sup>32</sup> tors, which ultimately requires engineering a race car that fits <sup>33</sup> its driver well. In fact, Milliken and Milliken already stated in

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This work involved human subjects or animals in its research. The authors confirm that all human/animal subject research procedures and protocols are exempt from review board approval.

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1995 that "it is the dynamic behavior of the combination of 34 high-tech machines and infinitely complex human beings that 35 makes the sport so intriguing for participants and spectators alike" [1]. Hence, for modern vehicle development in pro-37 fessional motorsports, a good understanding and modeling of the human (not necessarily lap time-optimal) driver are cru-39 cial to further improve the performance of the human-drivervehicle-system. This objective is different from the motivation 41 of robotic racing, where as-fast-as-possible synthetic drivers outperform human drivers [2]. However, the human decision-43 making process during racing is extremely complex and thus 44 difficult to model, since: 45

- 1) many influencing factors exist;
- vehicle dynamics are highly nonlinear and race cars are 47 usually driven at the limits of handling, posing a difficult 48 control task; 49
- 3) each driver exhibits an individual driving style;
- 4) human generalization and adaptation mechanisms are 51 complex. 52

While challenges 1–3 have been successfully addressed 53

in recent research with a framework that employs a deep 54 neural network controller to capture these three aspects of 55 human driving [3], [4], the problem of integrating human 56 *adaptation* into a race driver model<sup>1</sup> remains unsolved. With 57 this work, we intend to identify and better understand adap-58 tation and learning techniques mastered by professional race 59 drivers from related research and expert knowledge, contribute 60 to the modeling of driver behavior by developing two meth-61 ods to incorporate this behavior, and evaluate the proposed 62 methodology within a realistic race car simulation environment 63 as in the human-driver-in-the-loop (HDiL) simulator shown in 64 Fig. 1. 65

A human-like race driver model could considerably extend and improve full vehicle simulations, ultimately enhance the resulting development efficiency and vehicle performance, while being much less expensive compared to HDiL simulations. 70

#### A. Problem Statement and Notation

In order to model human race driver behavior, we aim to <sup>72</sup> learn a human-like control policy  $\pi^M$  which maps the current <sup>73</sup> overall state **x**, including vehicle state and situation on track, <sup>74</sup> to the vehicle control inputs **a** =  $\begin{bmatrix} \delta & g & b \end{bmatrix}$  composed of steering wheel angle  $\delta$ , throttle pedal position *g* and brake pedal <sup>76</sup>

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<sup>&</sup>lt;sup>1</sup>A driver model represents a vehicle control policy aiming to mimic the behavior of the human race driver in order to support full vehicle simulations.



Fig. 1. Race car simulator at Porsche Motorsport [5]: Realistic visualization, a vehicle cockpit mounted on an actuated platform, and a high-fidelity vehicle dynamics model facilitate rapid testing of new vehicle configurations with the human driver in the loop. The vehicle model is developed in-house, has 14 degrees of freedom, and is validated using real-track data. It is accompanied by a high-resolution, laser-scanned track model. Details about the simulator can be found in [6]. This simulator is used to generate demonstration data from professional race drivers for our adaptive human driver model. Consequently, the simulator's vehicle model is taken to evaluate the human driver model, intending to support the future vehicle development process.

<sup>77</sup> actuation *b*. This policy should be able to robustly maneuver <sup>78</sup> a race car at the handling limits while being similar to the <sup>79</sup> unknown internal driving policy  $\pi^E$  of human experts. At the <sup>80</sup> same time, this expert policy is nondeterministic due to natural <sup>81</sup> human imprecision and intentional adaptation, and able to gen-<sup>82</sup> eralize to new situations as, for example, new race tracks. In <sup>83</sup> this work, we aim to approach the problem of modeling this <sup>84</sup> behavior by:

1) identifying and understanding certain aspects of the most

important adaptation and learning mechanisms through
 related work and expert interviews;

<sup>88</sup> 2) using these findings to considerably extend a data-based
 <sup>89</sup> driver modeling approach;

3) evaluating the developed methods using data from pro-

fessional race drivers and a state-of-the-art motorsports
 simulation environment.

<sup>93</sup> Consequently, the resulting driver-specific control policy  $\pi^M$ <sup>94</sup> should be able to generalize to unseen tracks and exhibit cer-<sup>95</sup> tain adaptation characteristics of the human driver. We thereby <sup>96</sup> focus on the adaptation *result*, finishing laps with sufficient <sup>97</sup> performance.

## 98 B. Related Work

<sup>99</sup> This section discusses related work in all relevant <sup>100</sup> fields, from methods to analyze or achieve optimal racing <sup>101</sup> performance, to past work on the analysis, modeling, and imi-<sup>102</sup> tation of human driver behavior, and research on the analysis <sup>103</sup> of human adaptation behavior.

*Optimal Racing Performance:* To model the physics of a top car in different driving situations, a variety of approaches with different complexity is available [1]. In classical controltop based approaches, such vehicle models can be used to predict the driving behavior in standard maneuvers or to estimate the vehicle performance on a particular race track using lap time simulation approaches [7], [8], [9]. In the field of autonomous the driving or racing, more recent research aims to achieve optimal performance with (data-driven) model predictive <sup>112</sup> control (MPC) [10], [11], [12]. Furthermore, reinforcement <sup>113</sup> learning can be used to train an agent that outperforms human <sup>114</sup> drivers in simulated race environments [2], [13]. <sup>115</sup>

HDiL Simulation and Analysis: However, individual human 116 driver behavior, being an important component of the vehicledriver-entity, is often not sufficiently considered by these 118 methods. This fact encourages motorsport teams to utilize 119 HDiL simulation approaches, where the real driver operates 120 the vehicle within a realistic simulation environment, facilitating faster prototyping and more realistic predictions of the true 122 vehicle performance [6]. Furthermore, HDiL simulators enable 123 the study of human driver behavior, for instance, perceptual 124 and cognition skills of professional and nonprofessional race 125 car drivers [14]. 126

Modeling of Human Driver Behavior: Accordingly, a vari- 127 ety of related work describes car racing from the driver's 128 perspective, analyzes racing techniques, driving lines, and the 129 complex decision-making processes in greater detail, and contributes to a better understanding of the human driver in 131 general [15], [16], [17]. Nevertheless, the task of modeling this 132 behavior remains highly challenging. A number of approaches 133 for building a driver model for different use cases mainly rely 134 on conventional control architectures in partial driving scenar- 135 ios [18], [19]. Using a cognitive architecture based on adaptive 136 control, the driving behavior is modeled in a highway environ- 137 ment [20]. Some recently developed methods utilize imitation 138 learning techniques to imitate human drivers: using supervised 139 learning, random forests were trained to predict car control 140 inputs from basic vehicle states [21] and it was shown that 141 a feedforward neural network is able to track a driving line 142 generated by a human [22]. Furthermore, methods based on 143 (inverse) reinforcement learning were used to mimic drivers in 144 highway driving scenarios [23], [24], [25], and were extended 145 to imitate human behavior in a short-term race driving setting 146 based on visual features [26]. By imitating a coach, rein- 147 forcement learning also enables end-to-end urban driving [27]. 148 Besides that, research also targets specific human individuals 149 [28], [29], [30] and hierarchical modeling [31]. These studies 150 give insights into autonomous driving and driver modeling, 151 but most of them are designed for urban driving and lack the 152 ability to adapt when used for race car driving. 153

*Probabilistic Modeling of Driver Behavior (ProMoD):* 154 Among the research on the modeling of human driver behavior, the ProMoD framework was demonstrated to be capable of completing full laps with a competitive performance by mimicking professional race drivers [3], [4]. The databased and modular approach learns distributions of driving lines represented by probabilistic movement primitives (ProMPs) [32], [33] and trains a recurrent neural network on human race driver data in a supervised fashion. Furthermore, the driver identification and metrics ranking algorithm (DIMRA) was developed to classify individual driving styles using clustering algorithms and was later used as an evaluation method for the learned driver model [4].

*Human Adaptation Behavior:* Related to this topic, there 167 seems to be a shift from linear and time-invariant mod- 168 els of human manual control to nonlinear and time-varying 169 <sup>170</sup> approaches that are apparent in current research trends [34]. 171 In particular, adaptation over time is identified as a key aspect <sup>172</sup> of human behavior that should and can be modeled by moving 173 toward time-varying models. While the ProMoD framework shown to work well in many situations, it is still lack-174 is 175 in g the functionality of a time-varying model, i.e., the ability learn to drive on unknown tracks and to adapt and learn 176 177 from gathered experience from driven laps. As such learning and adaptation aspects play fundamental roles in competitive 178 <sup>179</sup> motorsports, any robust and accurate driver modeling approach should be able to reflect them. 180

Human adaptation behavior w.r.t. adaption times for changing road types in a driving simulator is analyzed, yet not modeled in the work of [35]. Past research on modeling driver adaptation to sudden changes in the vehicle dynamics takes into account limb impedance modulation and updating of the driver's internal representation of the vehicle dynamics [36]. However, the latter work focuses exclusively on lateral dynamics with a first-principles approach without a superordinate objective such as lap time.

Among these approaches, ProMoD offers a solid foun-190 dation for this work, as the modeling approach is able to 191 <sup>192</sup> dynamically control a car in a race driving setting, mimick-<sup>193</sup> ing individual driver behavior without achieving super-human <sup>194</sup> performance. In this work, we considerably modify and extend 195 ProMoD to model human driving adaptation—to the best of <sup>196</sup> our knowledge, for the first time in the racing context. With the modular architecture, the driving policy adaptation remains 197 <sup>198</sup> interpretable. We considerably enhance the quality of a modern <sup>199</sup> driver modeling approach, contribute to a better understand-200 ing of human race driver behavior, and aim to pave the way 201 for more accurate vehicle simulations and, potentially, future 202 autonomous racing.

203

## II. METHODOLOGY

As a proper understanding of the human race driver is fun-204 205 damental for modeling its learning techniques, we ground our methodology on key insights from literature, supplemented by 206 207 findings of an expert interview with a professional race engineer<sup>2</sup> for LMP1<sup>3</sup> race cars. To derive modeling principles, we 208 <sup>209</sup> summarize these literature and expert insights into adaptation 210 principles and select the most distinct of them with the help 211 of a simple, questionnaire-based survey conducted with pro-212 fessional race drivers and expert motorsport engineers, as an 213 extra layer of expertise. The adaptation principles identified in <sup>214</sup> Section II-A are followed by a short summary of the recently <sup>215</sup> presented ProMoD driver modeling framework in Section II-B. <sup>216</sup> In Section II-C, we present a novel way to generalize the driver 217 model to new tracks. Finally, Section II-D introduces a new 218 method to optimize driving similar to a race driver based on 219 previous laps.



Fig. 2. Agreement levels of 12 experts with statements **S1–S9**. The experts were asked to choose an agreement level between 1 and 10 with step size 1. Red lines indicate the median. Boxes represent the interquartile range. The whiskers measure 1.5 times the interquartile range.

#### A. Adaptation Principles

Race drivers constantly pursue better racing performance in <sup>221</sup> the presence of new tracks and modified vehicle setups. In this <sup>222</sup> section, we aim to understand the most important principles for <sup>223</sup> their adaptation behavior. We gather the following key insights <sup>224</sup> from literature, extended with an expert interview<sup>4</sup> of a race <sup>225</sup> engineer in the Appendix. We aggregate these two sources of <sup>226</sup> insights into summarizing statements **S1–S9** detailed in the <sup>227</sup> following, and finally conduct a simple, questionnaire-based <sup>228</sup> survey to directly ask additional experts for their agreement <sup>229</sup> with these statements. In Fig. 2, we measure the agreement <sup>230</sup> of 12 additional experts (including drivers, race engineers, <sup>231</sup> vehicle engineers, and tire engineers) with the 9 statements. <sup>232</sup>

*Objective (Delta) Lap Time:* In order to (iteratively) <sup>233</sup> optimize lap time [37], race drivers pay attention to the delta <sup>234</sup> lap time, which is the difference between the current and the <sup>235</sup> last (or best) lap time<sup>9</sup> (**S1**). Modifications to the vehicle setup <sup>236</sup> and environmental changes are only considered a posteriori, <sup>237</sup> which means that race drivers usually do not plan with them, <sup>238</sup> but only react after experiencing them<sup>9</sup> (**S2**). <sup>239</sup>

*Risk Awareness:* Race drivers are particularly risk-aware and <sup>240</sup> constantly test for the vehicle limits [17], starting from a safe <sup>241</sup> region and improving their driving incrementally<sup>9</sup> (**S3**). <sup>242</sup>

*Hierarchy:* The choice of brake points heavily influences the <sup>243</sup> speed profile of the entire corner [16], [38]. Subsequently, the <sup>244</sup> speed profile heavily influences the driving line. Race drivers <sup>245</sup> control brake points, speed profile, and driving line hierarchi- <sup>246</sup> cally, in this order<sup>9</sup> (which means that brake points are the <sup>247</sup> main tuning knob) (**S4**).

*Initialization—Driving on New Tracks:* When starting on <sup>249</sup> a new track, drivers tend to compare all new situations and <sup>250</sup> corners to their experience from other tracks [16], [38], to <sup>251</sup> get an initial guess of reasonable brake points and driving <sup>252</sup> lines, which are subsequently refined<sup>9</sup> (**S5**). The initialization <sup>253</sup> of brake points begins already before starting to drive, while <sup>254</sup> the speed profile and driving line are initialized during the <sup>255</sup> first few laps<sup>9</sup> (**S6**). After the first few laps, drivers are able to <sup>256</sup> complete the lap with a close to competitive lap time<sup>9</sup> (**S7**).

*Iteration—Adaptation Rules and Quantities:* The general <sup>258</sup> adaptation strategy seems to be similar for all drivers, where <sup>259</sup> adaptation of the braking (brake points and peak brake pres-<sup>260</sup> sure) is particularly important<sup>9</sup> (**S8**). By fine-tuning brake <sup>261</sup>

 $<sup>^{2}</sup>$ A race engineer works at the interface between the driver and the vehicle, trying to help the driver work with the vehicle and to find a vehicle setup tailored to the driver's needs.

<sup>&</sup>lt;sup>3</sup>Le-Mans-Prototypes represent a top class of race cars used in different endurance racing series with races lasting up to 24 h.

<sup>&</sup>lt;sup>4</sup>Findings from the expert interview are marked with this footnote. A summary of the interview is given in the Appendix.



Fig. 3. Top: Iterative adaptation process visualized as an optimization problem. Bottom: Three phases of driver adaptation to solve the above optimization problem, arranged in hierarchy-time plane. Dark color denotes findings from the expert interview and related work, whereas light color signifies how the respective findings are implemented in the adaptive model.

<sup>262</sup> points and peak brake pressure, drivers manage to achieve <sup>263</sup> better performances<sup>9</sup> (**S9**).

Overall, the agreement level of the additional experts with 264 <sup>265</sup> the above statements is high. The lowest median agreement is for S2 on environmental changes viewed as a disturbance. 7 266 The corresponding lower end of the interquartile range is 4, 267 much less than 7 (or higher) for all other statements. Hence, we do not base our subsequent design choices on S2. Further, 269 e observe outliers that might be connected to the diverse 270 backgrounds of the 12 experts. Two tire engineers strongly 271 disagree with S8 on braking being particularly important for 272 adaptation, applicative as a rule for all drivers. In contrast, both 273 asked drivers strongly agree with this statement. Since drivers 274 275 are the modeling target themselves, we decide to approve the 276 main expert's statement that brake points are the key control 277 variables. To summarize and simplify the problem, we set up 278 the following qualitative model: Race drivers optimize delta 279 lap time as a function of brake points, peak brake pressure, and 280 other variables as visualized in Fig. 3. This function is parameterized through the vehicle setup. To solve this problem, the 281 282 brake point variables are initialized in the Preparation phase in 283 a safe region, i.e., such that the lap can be completed. Speed <sup>284</sup> and driving line are initialized in hierarchical order during 285 the Warm-Up phase. Afterward, drivers iteratively adapt and 286 try out changes on all three hierarchical levels during Fine-Tuning.<sup>5</sup> Eventually, they arrive close to the optimizer shown a star on the top of Fig. 3. This point usually lies close 288 as the boundary of the safe set, as the driver will be operatto <sup>290</sup> ing the vehicle at the handling limits. As these generalization 291 and adaptation capabilities are fundamental for professional 292 race drivers, a driver model used for full vehicle simulations 293 is required to have them as well. In the following, the basic <sup>294</sup> ProMoD framework will be derived and subsequently extended 295 with these skills.

#### 296 B. ProMoD

<sup>297</sup> The recently presented ProMoD framework combines <sup>298</sup> knowledge and ideas from both race driver behavior and



Fig. 4. Original ProMoD framework to imitate human race drivers in simulation [4]: *Global Target Trajectory* holds a distribution of potential target driving lines, relating to the driver's mental image of a driving corridor. *Local Path Generation* and *Perception* calculate a feature vector based on the current situation on track and a sampled target driving line. *Action Selection* maps the features to driver actions. Feeding back the predicted actions to the simulation environment closes the loop.

autonomous driving architecture. It consists of multiple modules as visualized in Fig. 4, where each of these modules represents fundamental steps in the decision-making process of a human race driver [3], [4].

Our novel generalization and adaptation methods are based 303 on this architecture, which is summarized in the following. 304

*Global Target Trajectory:* Every driver keeps a mental <sup>305</sup> image of the whole race track in their head, knowing approximately where to brake, to turn in, and to accelerate again in <sup>307</sup> each corner. However, this imagined driving corridor is not <sup>308</sup> precise, i.e., it incorporates variance, and additionally changes <sup>309</sup> over time with gathered experience. Hence, we model the <sup>310</sup> global target trajectory with a distribution over potential driving lines, which could be interpreted as a driving corridor, <sup>312</sup> using ProMPs [32], [33]. For this purpose, both the spatial <sup>313</sup> and the temporal information of every demonstrated driving <sup>314</sup> line on a particular track is projected to a lower-dimensional <sup>315</sup> weight space. We define a series of equally distributed radial <sup>316</sup> basis functions (RBFs)

$$b_j(s) = \exp\left(-\frac{\left(s - c_j\right)^2}{2h}\right) \tag{1}$$

with function index  $j \in \{1, 2, ..., N_{BF}\}$ , track distance *s*, constant width *h*, and  $c_j$  being the equally distributed centers of the functions. All basis functions are assembled into the basis function matrix  $\mathbf{\Phi}_s \in \mathbb{R}^{N_s \times N_{BF}}$ , where the *j*th column contains  $b_j(s)$  evaluated at  $N_s$  points, equidistant in terms of track distance. Subsequently,  $\mathbf{\Phi}_s$  is aggregated into

$$\Psi_s = \operatorname{diag}(\Phi_s, \Phi_s, \dots, \Phi_s) \in \mathbb{R}^{nN_s \times nN_{\mathrm{BF}}}$$
(2) 325

for n variables that the trajectory consists of. The weight vector  $_{326}$ 

$$\boldsymbol{w}_{i} = \left(\boldsymbol{\Psi}_{s}^{T}\boldsymbol{\Psi}_{s} + \epsilon \boldsymbol{I}\right)^{-1}\boldsymbol{\Psi}_{s}^{T}\boldsymbol{\tau}_{s,i} \in \mathbb{R}^{nN_{\text{BF}}}$$
(3) 327

is derived using ridge regression for each demonstration  $_{328}$  trajectory  $\boldsymbol{\tau}_{s,i} \in \mathbb{R}^{nN_s}$  and regularization factor  $\epsilon$ . By fitting  $_{329}$ 

<sup>&</sup>lt;sup>5</sup>In the following, heuristically defined *control points* will be introduced for different vehicle states to directly adapt all three hierarchy levels.

<sup>330</sup> a Gaussian distribution  $\mathcal{N}(\boldsymbol{\mu}_{w}, \boldsymbol{\Sigma}_{w})$  over the N demonstration <sup>331</sup> weights with mean  $\mu_w$  and variance  $\Sigma_w$ 

332 
$$\boldsymbol{\mu}_{\boldsymbol{w}} = \frac{1}{N} \sum_{i=1}^{N} \boldsymbol{w}_i \in \mathbb{R}^{nN_{\mathrm{BF}}}, \qquad (4)$$

333 
$$\boldsymbol{\Sigma}_{\boldsymbol{w}} = \frac{1}{N} \sum_{i=1}^{N} (\boldsymbol{w}_{i} - \boldsymbol{\mu}_{\boldsymbol{w}}) (\boldsymbol{w}_{i} - \boldsymbol{\mu}_{\boldsymbol{w}})^{T} \in \mathbb{R}^{nN_{\mathrm{BF}} \times nN_{\mathrm{BF}}}$$
(5)

<sup>334</sup> we are able to describe the distribution of driving lines for a 335 driver on a particular track efficiently. Subsequently, an arbi-336 trary number of new driving lines which are similar to all 337 demonstrations can be generated by sampling a weight vector <sup>338</sup> from this distribution,  $w^* \sim \mathcal{N}(\mu_w, \Sigma_w)$ , and using

339

$$\boldsymbol{\tau}^* = \boldsymbol{\Psi}_s \boldsymbol{w}^* \tag{6}$$

340 to retrieve a new driving line in the original formulation which could be subsequently used as *target trajectory*. While 341 342 sampling this target trajectory all at once models the human driver's ahead-of-time plan based on experience and knowl-343 344 edge of the whole track, real-time planning based on the 345 current state on the track is performed by ProMoD's Local 346 Path Generation module.

Local Path Generation: For any situation on track, a human 347 348 driver continuously plans the upcoming path a few seconds ahead. We use this module to mimic the path planning 349 calculating constrained polynomials and multiple preview by 350 features<sup>6</sup> based on the current vehicle state and the *target* 351  $_{352}$  trajectory. These local path features are denoted as  $x_{LP}$ .

*Perception:* In addition to the path planning features, each 353 354 driver relies on additional information about their surround-<sup>355</sup> ings, such as visual information or experienced accelerations. 356 These perception features, which mostly relate to basic vehicle states, are gathered inside this module and are denoted as  $\mathbf{x}_{P}$ . 357 Action Selection: The action selection process, i.e., the map-358 359 ping from the current state (as described by the feature vector  $= [\mathbf{x}_{LP} \ \mathbf{x}_{P}]$ ) to human-like control actions **a**, is learned 360 X <sup>361</sup> using a recurrent neural network. It is trained on all available demonstration data for a particular driver, aiming to imitate 362 <sup>363</sup> its individual driving style and incorporating the dynamics of the action selection process. 364

This modular and hierarchical structure, compared with 365 366 end-to-end learning such as in [13], increases interpretability when tuning the driving behavior. After the recurrent neural 367 368 network, i.e., the action selector, is trained, it serves as a con-369 troller that drives the car by following the global reference 370 trajectory. Subsequently, by modifying the global reference 371 trajectory, the driver model can be adjusted for performance 372 or generalization. Compared with the direct adaptation of 373 the action selection policy (parameters of the recurrent neu-<sup>374</sup> ral network), the adaptation of the global reference trajectory 375 has the following advantages: 1) fewer parameters to update; <sup>376</sup> 2) an interpretable adaptation process; and 3) predictable and <sup>377</sup> understandable results. In the following, we present methods to <sup>378</sup> generalize and adapt this driver model in two different phases. 379 Section II-C introduces Track Generalization, addressing the

## Algorithm 1 Estimating a Driving Line Distribution Sampling

 $\boldsymbol{\mu}_{\boldsymbol{w}}^{\kappa,dy}, \boldsymbol{\Sigma}_{\boldsymbol{w}}^{\kappa,dy} \leftarrow \text{BUILDPROMP}(\mathcal{D})$  $x'(s), y'(s), \kappa'(s) \leftarrow \text{BUILDDRIVINGLINE}(\mathcal{B}_{\text{left}}, \mathcal{B}_{\text{right}})$  $\mu_{\boldsymbol{w}}^{\kappa'} \leftarrow \text{RIDGEREGRESSION}(\kappa'(s))$  $\mu_{w}^{dy'}$ **← 0**  $\mu_{w}$  $\Sigma_{w}^{dy'}$  $\leftarrow \text{EstimateVariance}(\boldsymbol{\mu}_{\boldsymbol{w}}^{\boldsymbol{\kappa}'}, \boldsymbol{\mu}_{\boldsymbol{w}}^{\boldsymbol{\kappa},d\boldsymbol{y}}, \boldsymbol{\Sigma}_{\boldsymbol{w}}^{\boldsymbol{\kappa},d\boldsymbol{y}})$ for  $i \leftarrow 1, N_{\text{samples}}$  do  $w_i^{*dy} \sim \mathcal{N}\left(\mu_w^{dy'}, \Sigma_w^{dy'}\right)$  $x_i^*(s), y_i^*(s) \leftarrow \text{RECONSTRUCT}(x'(s), y'(s), w_i^{*dy})$  $\Delta t_i^*(s) \leftarrow \text{ESTIMATESPEED}(x_i^*(s), y_i^*(s), \mathcal{P})$ end for

Preparation and Warm-Up steps identified from the litera- 380 ture and interview (see Fig. 3). Section II-D describes Feature 381 Adaptation, modeling the iterative Fine-Tuning. 382

#### C. Track Generalization: Generate Driving Line 383 Distributions

In order to generate first laps on a new, yet unknown 385 track, it is required to learn a reasonable driving line dis- 386 tribution for the Global Target Trajectory module. All other 387 modules of ProMoD are track-independent by definition and 388 remain unmodified. Hence, we construct a driving line dis- 389 tribution for a new track based on its borders (assumed to 390 be known) and prior knowledge from other tracks. Inspired 391 by the results from Section II-A, we propose the method- 392 ology described in Algorithm 1. We utilize a novel ProMP 393 description, conventional methods to fit driving lines based on 394 geometric boundaries, and a method to estimate the variance 395 of the driving line around the track based on experience from 396 other tracks. 397

ProMPs on Demonstration Data: To encode prior knowl- 398 edge from other tracks, we use all available driving line 399 data from all known tracks  ${\cal D}$  and calculate ProMPs with a 400 modified representation as driving line distributions for each 401 track separately. In particular, we take the vehicle positions 402 in the Cartesian space for all laps on a given track and map 403 them to a curvilinear description x(s),  $y(s) \mapsto dy(s)$ ,  $\kappa(s)$  404 for each track. Thereby, dy represents the lateral deviation 405 from a reference line and  $\kappa$  the line curvature, both based 406 on the reference line distance s. While there is an overlap 407 between the information in dy and  $\kappa$ , both representations 408 are needed for subsequent calculations. Similar to the com- 409 putation of RBF weights via ridge regression in the Cartesian 410 space, driving lines are now represented by weight vectors 411  $w^{dy}$  and  $w^{\kappa}$  for dy,  $\kappa$ , and RBFs in the curvilinear space with 412 equidistant discretization. Assuming a Gaussian distribution, 413 we retrieve mean weight vectors  $\mu_w^{\kappa}$ ,  $\mu_w^{dy}$  and variances  $\Sigma_w^{\kappa}$ , 414  $\Sigma_w^{dy}$  to describe the distribution of all available driving lines 415 on a particular track. By iterating this process for all avail- 416 able tracks, we can aggregate all driving line information into 417  $\mu_{w}^{\kappa,dy}$ ,  $\Sigma_{w}^{\kappa,dy}$ . In the following, we estimate a driving line dis- 418 tribution for an unknown track by combining this stochastic 419 information with a conventional path planning method.

Generate Mean Driving Trajectory: We start by estimating a 421 mean driving trajectory which is only based on the given track 422

<sup>&</sup>lt;sup>6</sup>Examples are a predicted lateral offset or a predicted speed difference from the target driving line. More details are given in [4].

<sup>423</sup> boundaries  $\mathcal{B}_{left}$  and  $\mathcal{B}_{right}$ . As the generation of a reasonable 424 and collision-free path around the track is required, we decide <sup>425</sup> to use Elastic Bands [39], [40]. While being computationally 426 efficient and easy to interpret, this method exhibited reasonable riving line estimates with sufficient accuracy. The resulting 427 dı 428 trajectory is now taken as the reference and mean driving line for the new track. Similarly to the ProMP calculation on the 429 available demonstration data, the curvature  $\kappa'(s)$  of this Elastic 430 Band driving line is projected to the lower-dimensional weight 431 432 space and set as the mean curvature  $\mu_w^{\kappa'}$  with  $\mu_w^{dy'} = 0$  by 433 definition.

Variance Estimation: Using this mean trajectory and the existing corner information from other tracks, we estimate the variance with a sliding window approach. For this purpose, we are moving along the estimated mean driving line's curwe are moving along the estimated mean driving line's cursequence of curvatures, to all situations on all known tracks as encoded in  $\mu_{W}^{\kappa,dy}$ ,  $\Sigma_{W}^{\kappa,dy}$ . By finding the most similar cortan ner measured by the absolute difference between curvatures, we are now able to iteratively build  $\Sigma_{W}^{dy}$ , which describes the variance of driving lines on the new track.<sup>7</sup>

Sampling and Reconstruction: Using the Elastic Band estitation material matter x'(s), y'(s) for the mean driving line and the modified tate ProMP  $\mu_w^{dy'} = 0$ ,  $\Sigma_w^{dy'}$  describing the lateral deviation from the mean, we are now able to sample new driving lines for tates the new track. In particular, we draw a sample weight vector  $w_i^{*dy} \sim \mathcal{N}(\mu_w^{dy'}, \Sigma_w^{dy'})$  and retrieve the lateral deviation  $dy_i^*(s)$ to as  $\Phi_s w_i^{*dy}$ . Now, it is possible to construct a sample driving trajectory in the Cartesian space using

452 
$$x_i^*(s) = x'(s) - \sin(\phi_i^*(s))dy_i^*(s)$$
(7)

453 
$$y_i^*(s) = y'(s) + \cos(\phi_i^*(s))$$

<sup>454</sup> where  $\phi_i^*$  is the mean heading angle of the vehicle and equals <sup>455</sup> 0 when the vehicle drives purely into *x*-direction.

 $dv_i^*(s)$ 

(8)

Speed Profile: In addition to the trajectory of the vehicle, ProMoD requires a speed profile for the *Local Path Generation* module. Since this velocity profile depends on the vehicle and tso its setup and is hard to estimate using the available demonstration data, we follow a more robust approach based on vehicle dynamics. For each sampled vehicle trajectory  $x_i^*(s)$ ,  $y_i^*(s)$ , we ter utilize a conventional lap time estimation approach based on the vehicle performance envelope  $\mathcal{P}$  to retrieve an approximate speed profile [7], [41].

Simulation: The sampled driving lines with corresponding speed profiles can now be used to reconstruct the original ProMP formulation within the previously presented ProMoD framework. Initializing with a reduced performance envelope P represents the *Preparation* phase on a new track and allows for safely simulating first laps. By iteratively expanding  $\mathcal{P}$ and simulating the resulting driving lines and speed profiles, ProMoD is able to cautiously approach the vehicle limitations, aiming to mimic the *Warm-Up* phase. The complete process



Fig. 5. Feature adaptation (red) extending the original ProMoD framework (gray), consisting of feature calculation (summarizes *Local Path Generation* and *Perception*), *Action Selection*, and the simulation environment. Every finished lap is analyzed and the reference trajectory is adapted correspondingly.

facilitates simulations on new tracks for which no demonstration data exists, enhancing our driver modeling framework 475 with track familiarization abilities to generate first fast laps. 476 After becoming familiar with a track, human drivers continuously optimize their performance, as shown in Section II-A. 478 Hence, ProMoD should also be adaptable and learn from 479 experience, which necessitates adaptation techniques. 480

### D. Feature Adaptation

Professional race drivers master the skill of continuously 482 optimizing their performance by analyzing past laps and adapting accordingly. With an additional feedback loop as shown in 484 Fig. 5, ProMoD is enabled to mimic this learning process to 485 a certain extent. By only adapting the global target trajectory, 486 which is used to compute local path planning features  $x_{LP}$ , 487 the behavior of ProMoD can be influenced. At the same time, 488 ProMoD maintains its ability to imitate human drivers as the 489 action selection module remains unchanged. In the following, 490 we use *Conditioning* and *Scaling* to modify the global target 491 trajectory while keeping it human-like:

Conditioning: Recall that the ProMPs for the global target 493 trajectory are represented by a Gaussian weight distribution  $p(w) = \mathcal{N}(w | \mu_w, \Sigma_w)$  with mean weight vector  $\mu_w$  495 and covariance matrix  $\Sigma_w$ . We are now able to alter this 496 distribution by conditioning the prior distribution to a new 497 (algorithmically chosen) observation  $\mathbf{x}_{s'}^* = \{\mathbf{y}_{s'}^*, \Sigma_y^*\}$  at a specific location s = s', as presented in [33]. Here, the control 499 point  $\mathbf{y}_{s'}^* \in \mathbb{R}^n$  is an algorithmically chosen target state (see 500 Paragraph Adaptation Process for details) of the vehicle position and velocity to be reached at distance s', and variance 502  $\Sigma_y^* \in \mathbb{R}^{n \times n}$  is the confidence of this choice. The conditional distribution  $p(w | \mathbf{x}_{s'}^*)$  remains Gaussian with updated 504 parameters

$$\mu_{w}^{[\text{new}]} = \mu_{w} + L(y_{s'}^{*} - \Psi_{s}^{T}\mu_{w}),$$
 (9) 506

$$\boldsymbol{\Sigma}_{\boldsymbol{w}}^{[\text{new}]} = \boldsymbol{\Sigma}_{\boldsymbol{w}} - \boldsymbol{L}\boldsymbol{\Psi}_{\boldsymbol{s}'}^T \boldsymbol{\Sigma}_{\boldsymbol{w}}$$
(10) 507

where

$$\boldsymbol{L} = \boldsymbol{\Sigma}_{\boldsymbol{w}} \boldsymbol{\Psi}_{\boldsymbol{s}'} \left( \boldsymbol{\Sigma}_{\boldsymbol{y}}^* + \boldsymbol{\Psi}_{\boldsymbol{s}'}^T \boldsymbol{\Sigma}_{\boldsymbol{w}} \boldsymbol{\Psi}_{\boldsymbol{s}'} \right)^{-1}$$
(11) 509

relates the variances of the prior distribution and the new 510 observation with  $\Psi_{s'} \in \mathbb{R}^{nN_{\text{BF}} \times n}$  representing the value of all 511 basis functions at s = s' [33]. 512

481

<sup>&</sup>lt;sup>7</sup>We use the curvature  $\kappa$  to find similar corners since it naturally describes the corner shape. The lateral deviation dy is used for sampling, as it allows for a more robust reconstruction.



Fig. 6. Masking the covariance matrix. (a) Part of the covariance matrix for a single variable ( $\Sigma_{\Delta t \Delta t} \in \mathbb{R}^{N_{\text{BF}} \times N_{\text{BF}}}$ ), where brighter colors indicate higher covariances. Far-off-diagonal correlations in the data potentially result from different vehicle setups in the demonstration data but are difficult to consider during conditioning. (b) Factor matrix for a single variable, where the elements on the diagonal are one, and off-diagonal entries are fading out to zero using bandwidth k. Here, k is selected such that distant and nonconsecutive turns cannot mutually influence each other. (c) Resulting matrix  $\Sigma_{w}^{\text{masked}} \in \mathbb{R}^{nN_{\text{BF}} \times nN_{\text{BF}}}$  for three variables after masking, filtering out correlations over larger distances.

This procedure allows to move brake points or to shift 513 <sup>514</sup> apexes<sup>8</sup> by conditioning the prior distribution utilizing a set of 515 rules derived from Section II-A. In the meantime, the correla-516 tions between different locations are taken into consideration 517 by the covariance matrix which is learned from the data so that <sup>518</sup> the whole trajectory is modified correspondingly. However, when using the prior variance without further consideration, 519 520 conditioning at a specific turn potentially affects distant turns due to nonzero covariances in the data, as shown for  $\Sigma_{\Delta t \Delta t}$  in 521 Fig. 6(a). As such a large effect across multiple turns is not 522 considered to be human-like, we aim to reduce it by mask-523 <sup>524</sup> ing the original matrix using a factor matrix  $\mathcal{F}_k \in \mathbb{R}^{N_{ ext{BF}} imes N_{ ext{BF}}}$ s25 shown in Fig. 6(b). By multiplying  $\mathcal{F}_k$  element-wise with each <sup>526</sup> submatrix of  $\Sigma_w$ , we retrieve a masked matrix for conditioning

527 
$$\boldsymbol{\Sigma}_{\boldsymbol{w}}^{\text{masked}} = \begin{bmatrix} \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{xx} & \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{xy} & \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{x\Delta t} \\ \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{yx} & \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{yy} & \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{y\Delta t} \\ \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{\Delta tx} & \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{\Delta ty} & \mathcal{F}_{k} \circ \boldsymbol{\Sigma}_{\Delta t\Delta t} \end{bmatrix}$$
(12)

<sup>528</sup> which effectively lowers the influence of conditioning on dis-<sup>529</sup> tant regions as shown in Fig. 6(c).<sup>9</sup> This matrix can then <sup>530</sup> replace  $\Sigma_w$  for effective local *Conditioning*.

Scaling: In order to fully utilize the vehicle's potential on straights, the speed profile can be adapted to influence the throttle actuation and braking behavior of ProMoD. Since straights the neural network performs trajectory tracking, aiming to minimize the control error between the reference speed and

#### Algorithm 2 Adaptation Process

```
Input: \mu_w^0, \hat{\Sigma}_w^0, envelope
        \leftarrow PROCESS VARIANCE(\hat{\boldsymbol{\Sigma}}_{\boldsymbol{w}}^{0})
\Sigma_{w}^{0}
\tau_0^{ref}
         \leftarrow CALCMEANTRAJECTORY(\mu_{w}^{0})
\mathbf{\mathcal{I}}^{track} \leftarrow \text{ANALYSETRACK}(\mathbf{\tau}_{0}^{ref})
for i = 0, 1, 2, \dots do
       \tau_i \leftarrow \text{SIMULATE}(\tau_i^{ref})
            = \emptyset
       if not ISCOMPLETED(\tau_i) then
              y_s^* \leftarrow y_s^* \cup \text{ANALYSEDL}(\tau_i, \mathcal{I}^{track}, \text{envelope})
              if y_s^* == \emptyset or SLIPCHECK(\tau_i, \mathcal{I}^{track}) then
                      y_s^* \leftarrow y_s^* \cup \text{ADAPTSPEED}(\tau_i, \mathcal{I}^{track})
              end if
       else
                          y_s^* \cup CHECKINENVELOPE(\tau_i, envelope)
       end if
\mu_{w}^{i+1,0}, \Sigma_{w}^{i+1,0} = \mu_{w}^{i+1}, \Sigma_{w}^{i+1}
       for j = 1, 2, ..., number of items in y_s^* do

\mu_w^{i+1,j}, \Sigma_w^{i+1,j} \leftarrow \text{COND}(\mu_w^{i+1,j-1}, \Sigma_w^{i+1,j-1})
       end for
                  \leftarrow CALCMEANTRAJECTORY(\mu_{w}^{i+1})
       if isCompleteD(\tau_i) then
              \boldsymbol{\tau}_{i+1}^{\prime e_{j}} \leftarrow \text{SPEEDSCALING}(\hat{\boldsymbol{\tau}}_{i+1}^{ref}, \boldsymbol{\tau}_{i}, \boldsymbol{\mathcal{I}}^{track})
             \tau_{:}^{ref}
                           \leftarrow \hat{\boldsymbol{\tau}}_{i+1}^{ref}
       end if
end for
```

the actual speed, its output signals tend to fluctuate during 536 intervals of full throttle. Therefore, if the actual velocity is 537 larger than the reference velocity, ProMoD tends to accelerate 538 less, even if the virtual driver is on a straight and expected to 539 drive as fast as possible. This problem can be effectively solved 540 by smoothly scaling the reference speed on long straights. 541

*Adaptation Process:* The complete adaptation process, <sup>542</sup> shown in Algorithm 2, is inspired by the insights from <sup>543</sup> Section II-A and uses both introduced methods, *Conditioning* <sup>544</sup> and *Scaling*, to continuously adapt ProMoD based on gathered <sup>545</sup> experience. After simulating a lap, an initial check is done <sup>546</sup> whether the lap was completed successfully. If this is not the <sup>547</sup> case, the situation where the vehicle left the track is analyzed <sup>548</sup> and the ProMP is conditioned using two subprocedures. <sup>549</sup>

- 1) Driving-Line Check and Adaptation: As seen in 550 Section II-A, the turn-in is the most important phase 551 during cornering. Hence, the driving line is compared 552 to the permissible driving corridor, represented by track 553 borders or by the envelope of all demonstrations from 554 the human drivers, and the largest deviation before the 555 apex is found. Then, a new control point  $y_{s'}^*$  is added for 556 *Conditioning* at this position, shifting the driving line 557 distribution toward the permissible area. 558
- Velocity Adaptation: If no valid adaptation is found or 559 extreme tire slip occurs, a control point will be added 560 to reduce the target speed shortly before the track was 561 left. 562

In practice, ProMoD can eventually complete each critical corner when the target speed is low enough. Subsequently, the completed laps can be further adapted to improve the lap time and to keep the driving line in the envelope by: 566

 Checking and reducing smaller deviations from the permissible driving corridor: Just like during real racing, 568 ProMoD sometimes slightly exceeds the theoretically 569 allowed driving corridor but still manages to complete 570

<sup>&</sup>lt;sup>8</sup>An apex is defined as the closest point to the inner side of a corner, typically coinciding with the locally maximal curvature of the driving line.

<sup>&</sup>lt;sup>9</sup>While the assumption of a fixed bandwidth k is not entirely human-like, it turned out to be sufficient to introduce the required adaptation characteristics. Future work may focus on finding a variable, distance-dependent masking to further enhance human likeness.

582

the lap. These situations are checked and additional control points are introduced for *Conditioning*.

573 2) Checking acceleration intervals and *Scaling* of the speed:

574 As discussed before, sometimes ProMoD does not utilize

the full vehicle potential during acceleration phases on straight lines. Hence, speed scaling is used to further

<sup>577</sup> increase the performance on already completed laps.

<sup>578</sup> By introducing this process, we are able to encourage <sup>579</sup> ProMoD to learn from the experience of previous laps, to <sup>580</sup> correct mistakes, and to increase performance, matching the <sup>581</sup> requirements illustrated in Fig. 3.

## III. EVALUATION

In this work, we use data of professional race drivers gathered from the HDiL simulator shown in Fig. 1 to train and evaluate our driver model. All rollouts of our driver model are simulated using the same in-house developed vehicle model dynamics and facilitating comparability to the human demonstrations. The task of driving the simulated race car is highly challenging as its only driver assistance system is *Traction Control.* In order to safeguard intellectual property, all plots in this article are shown normalized.

#### 593 A. Track Generalization

We evaluate the presented track generalization method of our ProMoD framework on two race tracks, Motorland Aragón (AGN) and the Yas Marina Circuit in Abu Dhabi (ABD), and exclude demonstration data from these tracks during training. For each track, we initially estimate driving line distributions according to the methodology presented in Section II-C and draw  $N_{\text{samples}}$  driving lines from these distributions. When using these driving line samples for simulation on the corresponding unknown tracks, ProMoD is capable of completing full laps on the respective race track, as visualized in Fig. 7 for ABD.

For AGN, the track generalization method achieves comparable results considering the similarities of the resulting driving line and driver action distributions with the human driver. Furthermore, we compare the performance of ProMoD and the human driver on both tracks with equal vehicle setups. Fig. 8 visualizes the resulting lap time distributions, normaltil ized to the median lap time of the human driver on each track, respectively.

Here, ProMoD is able to achieve lap times close to those of the human driver, with a slightly increased median due to small deviations in the expected speed profiles as visible of in Fig. 7(a) between reference distances 0.1 and 0.2. These deviations result from the herein utilized conventional lap simulation approach [7], [41] that marginally underestimates the available acceleration potential and hence permissible speed of the vehicle in dynamic situations. This is a reasonable limitation, as the track generalization method is mainly intended to safely finish first laps on a new track with a close to competitive performance. In contrast to baseline machine learning models and also to conventional lap simulation approaches [7], [41] that rely on simplified vehicle models and do not consider human characteristics, extensive evaluations of the <sup>626</sup> basic ProMoD framework in earlier research [3], [4] already <sup>627</sup> demonstrated that the framework can robustly mimic human <sup>628</sup> driving styles in a variety of settings. These findings are underlined by an extended evaluation of the adapted ProMoD model <sup>630</sup> in the following section. <sup>631</sup>

#### B. Feature Adaptation

The feature adaptation process is tested on two different <sup>633</sup> tracks, the Silverstone Circuit (SVT) and Motorland Aragón <sup>634</sup> (AGN), as these tracks turned out to be particularly difficult <sup>635</sup> to finish for the driver model and, hence, are a suitable environment to demonstrate the applicability of our method. We <sup>637</sup> start with an evaluation of the local effects of *Conditioning* <sup>638</sup> and *Scaling* by showing the executed adaptations, the resulting changes in terms of driving line, and the selected actions <sup>640</sup> of the driver model. Subsequently, we test the complete adaptation process on both tracks, showing that the method is <sup>642</sup> able to pass previously unfinished turns and to improve lap <sup>643</sup> time.

Local Effect—Adaptation: The local effects of adaptation 645 are presented in Figs. 9 and 10, visualizing adaptations of the 646 driving line and the speed profile, as well as the resulting 647 action signals and driven lines. Here, ProMoD fails initially 648 at Turn (T) 6/7 of SVT due to considerably exceeding the 649 vehicle potential as shown in Fig. 9(b). In order to adapt the 650 speed profile effectively, three control points are used to set 651 the lower peak speed value, resulting in earlier braking and 652 consequently helping to avoid the mistake and pass the turn. 653 At the same time, with the purpose of reducing the curvature 654 and avoiding corner-cutting, the driving line is pulled outwards 655 around fifty meters before the first apex as shown in Fig. 9(a). 656 After two iterations of simultaneously adapting both the speed 657 profile and the driving line, ProMoD succeeds in this turn. 658 Note that such intermediate iterations are part of our modeling 659 algorithm and not part of the adaptation model itself, that 660 is resembled by the final iterate of speed profile and driving 661 line.

*Local Effect—Scaling:* Scaling is particularly useful on 663 straights if ProMoD initially does not fully utilize the vehicle potential due to a modified vehicle setup and a too 665 conservative prior target speed definition. Its effect becomes 666 apparent when observing the throttle actuation signal. With 667 a higher reference speed, the model tends to utilize full 668 throttle more often on long straights, as shown in Fig. 11. 669 Consequently, the fluctuations of the throttle signal in those 670 intervals are eliminated, and the lap time is improved by about 671 0.2 s. 672

Adaptation Process: The developed adaptation process for 673 ProMoD has been successfully tested on SVT and AGN as 674 visualized in Fig. 12. While it requires four iterations to complete SVT, ProMoD needs more iterations for AGN since it 676 fails at more locations. On both tracks, the learning speed is 677 slower compared to a race driver, but ProMoD ultimately succeeds in completing a lap after less than 20 iterations, with 679 at most five iterations for a problematic turn. To indicate the 680 adaptation progress, the lap progress and the portion of the 681



Fig. 7. Track Generalization results on ABD: We compare five laps of the human driver (dark gray) to five laps of the track-generalized ProMoD framework (red) with an identical vehicle setup. (a) Comparison of the driver actions and the resulting speed profiles over the normalized track reference distance. Here, ProMoD is able to approximately reproduce the throttle, braking, and steering activity of the real driver considering the braking points, actuation speeds, and amplitudes. The velocity profile shows small deviations after the first corner where ProMoD does not fully utilize the vehicle potential due to a slightly over-conservative speed profile estimation in this region. (b) Resulting simulated driving lines around the track (light gray) where numbers indicate the reference distance. The position of the start/finish line and the driving direction is indicated by the bright blue triangle. Here, ProMoD is able to generalize and approximately follows the demonstrations of the human driver even though they were not used during for this race track. Some deviations are present at particularly challenging locations (e.g., the hairpin corner on the left), which, however, do not prevent ProMoD from finishing the lap with reasonable performance. These deviations may be reduced by using adaptation methods to learn from the gathered experience on the track.

lap with full throttle are plotted over the number of iterations,
corresponding to the objective of finishing laps and optimizing
the lap time, respectively, while imitating the human drivers.

*DIMRA:* Finally, we use DIMRA to evaluate the adapted 685 model regarding the similarity of its driving style to that 686 of the target human driver [4]. In Fig. 13, each marker 687



Fig. 8. Lap time comparison for track generalization on race tracks ABD and AGN: Times are normalized to the median demonstration lap time of the corresponding track. The whiskers correspond to the minimum/maximum values, the boxes indicate the upper/lower quartiles, and the thick central line shows the median value. Here, ProMoD is able to finish laps on unknown race tracks, less than 0.5% slower than the human driver in the median and at a competitive pace for its fastest laps. The slightly slower median lap time might be a result of a yet nonoptimal speed profile or driving line distribution.



Fig. 9. Adaptation of the target line for T6/7 on SVT and the resulting driven paths. (a) Prior (black) and posterior (red) target lines. The posterior target line is pulled outwards before the first apex using a control point at corner entry, as ProMoD initially exceeded the vehicle potential and left the track. (b) Resulting lines driven by ProMoD. After simultaneous adaptation of the target line and the velocity profile, ProMoD is able to successfully finish this turn.

<sup>688</sup> represents a single lap with three metrics characterizing the <sup>689</sup> individual driving style: throttle speed, brake speed, and the <sup>690</sup> time of simultaneously pressed brake and throttle pedals.



Fig. 10. Target speed and resulting vehicle states and driver actions over the normalized segment distance before and after adaptation (two iterations) of the target speed profile for T6/7 on SVT: Via three control points, the target speed profile is adapted while its general shape is preserved. The car balance refers to the dynamic driving state. When operated close to the friction limit (e.g., while cornering), the car balance typically assumes an oversteer (over-rotating, negative values) or understeer (under-rotating, positive values) state [1]. Before adaptation, at normalized segment distance 0.25, the vehicle oversteers and ProMoD is able to recover the vehicle by countersteering, at the cost of losing speed. However, at distance 0.65, ProMoD largely exceeds the grip potential, sliding over both axles which forces the vehicle off the track [see Fig. 9(b)]. After adapting the speed profile and driving line, ProMoD is able to keep the vehicle safely on track. Via Action Selection, ProMoD automatically increases the braking force during the first turn-in, accelerates later, and lifts the throttle and brakes earlier for the following turn.

This plot indicates that after adaptation, the driver model 691 remains capable of mimicking the individual characteristics of 692 a specific driver while considerably differing from the others. 693



Fig. 11. Effect of *Speed Scaling* on straights: After scaling, ProMoD effectively utilizes the longitudinal potential of the vehicle and uses full throttle on most straights. For intervals where ProMoD would fail in subsequent turns due to the increased speed, scaling is prevented.



Fig. 12. Adaptation progress of ProMoD on AGN and SVT: For both tracks, ProMoD succeeds in completing a previously unfinished lap within 20 iterations, shown by lap progress (lp). The portion of full throttle is denoted by ft, where average expert values are 0.6152 and 0.5289 on SVT and AGN, respectively. Additional iterations can be used to further increase performance.

## IV. CONCLUSION

In this article, we collect insights into the general adaptation 695 696 behavior and the learning processes of professional race drivers and derive new methods to extend ProMoD, an 697 advanced modeling method for race driver behavior. With 698 the purpose of understanding driver behavior in general and 699 700 identifying the most important adaptation processes, this work starts with key insights from related work and experts 701 702 inside and outside of the cockpit. Based on this acquired 703 knowledge, we develop a novel method that estimates human-704 like driving line distributions for unknown tracks. These distributions can be used to simulate complete laps with almost 705 706 competitive performances and human-like driver control inputs in a professional motorsport driving simulator. Subsequently, 707 we present a feature adaptation method that allows ProMoD 708 709 to learn from the gathered experience of previous laps. We



Fig. 13. Top three DIMRA driving style metrics of ProMoD and human drivers on SVT. ProMoD accurately mimics the individual driving style of driver A while still being distinguishable from two other professional race drivers.

demonstrate the model's ability to continuously learn from 710 mistakes and to improve driving performance in terms of lap 711 completion and time. This work contributes to the modeling 712 and a better understanding of driver behavior, paving the 713 way for advanced full-vehicle simulations with consideration of the human driver and potentially future autonomous 715 racing. 716

Due to its modular architecture, ProMoD might be extended 717 in various ways in future research. For feature adaptation 718 and optimization, new methods may be introduced such as 719 generating a more human-like masking matrix. Besides that, 720 the neural network of the Action Selection module could be 721 adapted to learn from experience using reinforcement learn- 722 ing techniques, or real track data may be used to provide more 723 demonstration data. In order to better understand and model 724 the efficient and complex adaptation process of human race 725 drivers, approaching our modeling problem from the perspec-726 tive of behavioral science is worth to be explored. On top of 727 the development of the new adaptation methods, additional 728 performance criteria related to the human adaptation process 729 over subsequent laps could be defined for a more holistic 730 assessment of the adaptation methods and improvement of the 731 model. Furthermore, human-like qualitative feedback, which is 732 based on encountered problems during driving, could help to 733 further support the vehicle development process. In addition, 734 our driver model may be extended to a multiagent environment 735 with opponents on the race track, facilitating a more accurate 736 prediction of true racing performance and potentially optimiz- 737 ing full racing strategies. Finally, ProMoD might be applied to 738 similar use cases with the target of modeling human behavior 739 in dynamic environments with small stability margins. 740

#### Appendix

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#### EXPERT INTERVIEW

*Is there a universal adaption rule that applies to all drivers* 743 *and tracks?* 744

Indeed, it turns out that adaption strategies are very similar 745 across different drivers, tracks, and vehicles, in spite of the 746 individual driving behavior, the various layouts of the tracks 747 and the continuously modified vehicle setups. The driver's 748 <sup>749</sup> main goal is to "brake as late as possible, and accelerate as <sup>750</sup> early as possible." The resulting driving line, the turn-in, and <sup>751</sup> the on-throttle behavior are seen as a consequence of pursuing <sup>752</sup> that goal.

753 How do drivers drive their first laps on a new track?

When faced with a new track, what a driver would do can be divided into three phases: 1) preparation; 2) warm-up; and subsequent fine tuning.

1) Preparation: Drivers come to a new track with a mem-757 orized "database of corner information," collected from 758 their prior experience, simulator sessions, statistical data, 759 etc. First, drivers characterize each new corner by com-760 paring it with those in their memory and assemble a first 761 guess of the driving line. Since every corner is unique, 762 this first guess is usually a rough approximation. At this 763 point, it is helpful to consult other drivers to improve 764 the initial guess. Finally, they set brake points, utilizing 765 signs in the environment such as brake markers. Having 766 concretized all prior information and exchanged opin-767 ions with fellow drivers of specific positions for hitting 768 the brake pedal, the drivers start their first laps on a new 769 track. 770

Warm-Up: Race drivers are particularly talented in assessing risk. They usually start off with a slow and safe speed profile, which they adapt from lap to lap to higher velocities. This process can take very few iterations. For example, one driver managed to reach a competitive lap time on the Le Mans circuit surprisingly after only five laps.

3) *Fine Tuning:* After warming up, drivers are able to complete the lap with a close to competitive lap time, which they then try to improve incrementally. Usually, drivers do not reach a global optimum but are aware of how to improve. High- and changing-speed corners are the most difficult ones, where spinning should be prevented, as it is extremely difficult to control.

Which quantities do race drivers adapt and how? Do they respanse pay attention to specific metrics?

Although the goal of improving lap time is sound and
clear, the real optimization process is indeed very complicated, and many factors have to be taken into consideration. The following three aspects are most critical during
optimization.

*Delta Lap Time:* The adaption behavior of race drivers
 is result-oriented. They are not paying much attention to

<sup>794</sup> the exact speed values at local points around the track,

but rather to the lap time difference to the previous or
best lap. The association with the optimization problem
is visualized on the top of Fig. 3.

2) *Brake Point:* Hitting the brake is where the corner starts.
It is the most crucial tuning knob, not only because it influences the speed profile, but also since it is the source of any issues arising throughout the following corner.
I.e., all issues should be traced back to the brake point, and cannot be locally analyzed.

BO4 3) Peak Brake Pressure: The driver attempts to predict
 the future state of the car when making decisions. In
 the presence of slip, however, uncertainty about the

vehicle state is introduced, eventually leading to wrong <sup>807</sup> predictions by the driver. Therefore, slip management <sup>808</sup> is crucial during cornering, with the maximum brake <sup>809</sup> pressure helping to anticipate imminent slip. <sup>810</sup>

How do race drivers behave when the vehicle setup is 811 modified? Will they preadapt their strategy according to the 812 setup? 813

It is extremely complicated to analyze the car and the behavior of the driver simultaneously. Therefore, when new vehicle setups are tested, the drivers do not and are not expected to have much idea of what has been adapted on the car. Sometimes, race engineers would do blind tests in order to isolate the influences of the modified setups from those of the drivers.

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