Imitation Learning by Inverse Reinforcement Learning

Jan Peters Gerhard Neumann

Inspired by Slides from P. Abbeel, Drew Bagnell and others

Purpose of this Lecture



- Learn an alternative approach to imitation learning
- What is the best way to imitate a teacher?
 - Learn its policy? Behavorial cloning
 - Needs a lot of demonstrations to generalize the behavior
 - Learn its intention / goals? Inverse Reinforcement Learning
 - Inverse Optimal Control, Inverse Optimal Planning
 - Determine the cost function of the teacher in order to obtain optimal behavior.
 - More concise description of behavior

Bigger Picture







Outline of the Lecture

- 1.Introduction
 - I. Comparison to Behavioral Cloning
- 2.Categories of IRL
 - I. Maximum Margin
 - II. Feature Matching by Max. Entropy
 - III. Policy parametrized by rewards
- **3.**Applications
- 4.Conclusion

Behavioral Cloning







What may be wrong here? Remember ALVINN?

There are difficulties involved with training "on-the-fly" with real images. If the network is not presented with sufficient variability in its training exemplars to cover the conditions it is likely to encounter when it takes over driving from the human operator, it will not develop a sufficiently robust representation and will perform poorly. In addition, the network must not solely be shown examples of accurate driving, but also how to recover (i.e. return to the road center) once a mistake has been made. Partial initial training on



Disadvantages of Direct Imitation Learning

- Needs a lot of demonstrations to generalize
- High variability in the demonstrations
- Demonstrate how to recover from mistakes





Apprenticeship learning/Imitation learning through inverse RL

Presupposition: reward function provides the most succinct and transferable definition of the task

Has enabled advancing the state of the art in various robotic domains

Modeling of other agents, both adversarial and cooperative

Scientific questions

Model animal and human behavior

E.g., bee foraging, songbird vocalization. [See intro of Ng and Russell, 2000 for a brief overview.]

RS 2008: Dave Silver and Drew Bagnell

Meet Crusher...

one

More Crusher pictures...





More Crusher pictures...

New Press

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Inverse Reinforcement Learning





Recovering Cost!





Ratliff, Bagnell, Zinkevich 2005 Ratliff, Bradley, Bagnell, Chestnutt, NIPS 2006 Silver, Bagnell, Stentz, RSS 2008

Recovering Cost!





Recovering Cost!







Collect paths by teleoperation





Training: Stay on the road





Test: Stay on the road





Training: Avoid the Road





Test: Avoid the Road



example path





Inverse RL:

- Given Policy and Model, can we recover R?
- More generally, given execution traces, can we recover r?



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Problem setup: Behavioral Cloning

Input:

Teacher's demonstrations: $D = \{s_{1:T,i}, a_{1:T,i}\}_{i=1...N}$

Trace of the teacher's policy $\pi^*(\boldsymbol{a}|\boldsymbol{s})$

And its "long-term behavior" $\mu^*(s)$

Formulated as standard machine learning problem

Fix a policy class (neural network, decision tree, deep belief net, dynamical systems, ...

Estimate a policy $\hat{\pi}(\boldsymbol{a}|\boldsymbol{s})$ from the training examples D

Problem:

There will always be an error in the estimation of the policy

Small error in the policy \Longrightarrow possibly large error in long-term behavior $\hat{\mu}(s)$



Input:

Teacher's demonstrations: $D = \{s_{1:T,i}, a_{1:T,i}\}_{i=1...N}$

Trace of the teacher's policy $\pi^*(oldsymbol{a}|oldsymbol{s})$

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State and Action Space

Transition model: $p(\boldsymbol{s}_{t+1}|\boldsymbol{s}_t, \boldsymbol{a}_t)$

No reward function $r(s_t)$

Inverse RL:

Can we recover $r(s_t)$ that explains the policy $\pi^*(a|s)$ (and its long-term behavior ?) $\mu^*(s)$

Apprenticeship Learning

Can we use $r(s_t)$ to obtain a policy $\hat{\pi}(a|s)$



Inverse RL vs. Behavioral Cloning

Behavioral Cloning:

Simple to implement

No assumptions on the model/MDP

We might not reproduce the long term behavior

Representation: Policy

Hard to generalize

Needs many samples

Inverse RL:

Requires Planning / Solving an MDP

Hard for many interesting MDPs (e.g. high-DoF robots)

Representation: Reward

Compact description

Easy to transfer to new tasks



Find a reward function $r^*(s_t)$ which explains the expert behavior

Assume expert is optimal w.r.t. to $r^*(s_t)$

I.e., find $r^*(s_t)$ such that

$$\mathbb{E}_{p,\pi}\left[\sum_{t} \gamma^{t} r^{*}(s_{t}) | \pi^{*}\right] \geq \mathbb{E}_{p,\pi}\left[\sum_{t} \gamma^{t} r^{*}(s_{t}) | \pi\right], \forall \pi$$

In fact a convex feasibility problem, but many challenges:

- 1. Ill-posed: $r^*(s_t) = 0$ is a solution, reward function ambiguity
- 2. Limited Data: We typically only observe expert traces rather than the entire expert optimal policy --- how to compute left-hand side?
- 3. Optimality Assumption: Assumes the expert is indeed optimal --- otherwise infeasible
- 27 4. Computation: assumes we can enumerate all policies



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Feature based reward function



Lets assume the reward function is linear in some features,

I.e. $r(s) = w^T \phi(s)$, where $\phi(s)$ is a *n*-dimensional feature vector

$$\mathbb{E}\left[\sum_{t=0}^{\infty} \gamma^{t} r(\boldsymbol{s}_{t}) | \pi\right] = \mathbb{E}\left[\sum_{t=0}^{\infty} \gamma^{t} \boldsymbol{w}^{T} \boldsymbol{\phi}(\boldsymbol{s}_{t}) | \pi\right]$$
$$= \boldsymbol{w}^{T} \mathbb{E}\left[\sum_{t=0}^{\infty} \gamma^{t} \boldsymbol{\phi}(\boldsymbol{s}_{t}) | \pi\right]$$
$$= \boldsymbol{w}^{T} \boldsymbol{\psi}(\pi)$$

where $\,\psi(\pi)\,$ is the expected discounted feature vector of policy π

Subbing into: $\mathbb{E}_{p,\pi} \left[\sum_t \gamma^t r^*(s_t) | \pi^* \right] \ge \mathbb{E}_{p,\pi} \left[\sum_t \gamma^t r^*(s_t) | \pi \right], \forall \pi$ gives us: Find \boldsymbol{w}^* such that $\boldsymbol{w}^{*T} \boldsymbol{\psi}(\boldsymbol{\pi}^*) \ge \boldsymbol{w}^{*T} \boldsymbol{\psi}(\boldsymbol{\pi}), \forall \pi$

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$$\mathbb{E}_{p,\pi}\left[\sum_{t} \gamma^{t} r^{*}(s_{t}) | \pi^{*}\right] \geq \mathbb{E}_{p,\pi}\left[\sum_{t} \gamma^{t} r^{*}(s_{t}) | \pi\right], \forall \pi$$

Find \boldsymbol{w}^* such that $\boldsymbol{w}^{*T} \boldsymbol{\psi}(\boldsymbol{\pi}^*) \geq \boldsymbol{w}^{*T} \boldsymbol{\psi}(\boldsymbol{\pi}), \forall \boldsymbol{\pi}$

Feature expectations can be readily estimated from sample trajectories

Solves limited data challenge

The number of expert demonstrations required scales with the number of features in the reward function.

The number of expert demonstration required does not depend on

Complexity of the expert's optimal policy

Size of the state space



Find a reward function $r^*(s_t)$ which explains the expert behavior

Assume expert is optimal w.r.t. to $r^*(s_t)$

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Constraint generation



Every policy has a corresponding feature expectation vector, which for visualization purposes we assume to be 2D



Constraint generation



Linear parametrization: We need to find a separating hyper-plane given

by $oldsymbol{w}$ ψ_2 $\psi(\pi^*$ • $oldsymbol{w}^Toldsymbol{\psi}(\pi_1)$ W \bigcirc $\psi(\pi_1$ \bigcirc \bigcirc $\boldsymbol{\psi}(\pi_3)$ $\psi(\pi_2)$ ψ_1

Scalar product $w^T \psi$ gives us the (positive or negative) distance to the separating hyper-plane

III-posed Problem



Standard max margin:

Smallest weight vector with predefined reward margin of 1





Max. margin solution



Similar interpretation as a support vector machine



III-posed Problem

Structured max margin:

Smallest weight vector

Margin depends on difference of policies $m(\pi^*,\pi)$

$$\min_{\boldsymbol{w}} \quad \boldsymbol{w}^T \boldsymbol{w}$$

s.t.
$$\boldsymbol{w}^T \boldsymbol{\psi}(\pi^*) \ge \boldsymbol{w}^T \boldsymbol{\psi}(\pi) + m(\pi^*, \pi), \quad \forall \pi$$

Justification: margin should be larger for policies that are very different from π^* .

Example for $m(\pi^*,\pi)$:

Sum of minimum distances from generated path the example path


Find a reward function $r^*(s_t)$ which explains the expert behavior

Assume expert is optimal w.r.t. to $r^*(s_t)$

I.e., find $r^*(s_t)$ such that

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Structured max margin solution





Structured prediction max margin with slack variables:

Every constraint can be violated a bit

Minimize the amount of violation

 $\min_{\boldsymbol{w},\xi} \quad \boldsymbol{w}^T \boldsymbol{w} + C\xi$

s.t.
$$\boldsymbol{w}^T \boldsymbol{\psi}(\pi^*) \geq \boldsymbol{w}^T \boldsymbol{\psi}(\pi) + m(\pi^*, \pi) - \boldsymbol{\xi}, \quad \forall \pi$$

Easy to extend to multiple MDPs

Resolved: access to π^* , ambiguity, expert suboptimality

One challenge remains: very large number of constraints

Ratliff et. al. use subgradient methods.

In this lecture: constraint generation

Constraint generation



Initialize $\Pi = \{\}$ and then iterate k = 1...

Solve

$$\boldsymbol{w}^{(k)} = \operatorname{argmin}_{\boldsymbol{w}} \min_{\boldsymbol{\xi}} \boldsymbol{w}^T \boldsymbol{w} + C\boldsymbol{\xi}$$

s.t.
$$\boldsymbol{w}^T \boldsymbol{\psi}(\pi^*) \ge \boldsymbol{w}^T \boldsymbol{\psi}(\pi^{(i)}) + m(\pi^*, \pi^{(i)}) - \boldsymbol{\xi}, \quad \forall \pi^{(i)} \in \Pi$$

Find the most violated constraint

$$\pi^{(k)} = \max_{\pi} \boldsymbol{w}^{(k),T} \boldsymbol{\psi}(\pi) + m(\pi^*,\pi)$$

Compute optimal policy for the current estimate of the reward function (+ loss augmentation m), e.g., dynamic programming

Add $\pi^{(k)}$ to set of policies Π

If no constraint violations were found, we are done.



Algorithm example run



Suboptimal expert case







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Classical Approach to Statistical Modeling: "The Principle of Maximum Entropy"

Premise: Statistical modeling should be performed with the least commitment possible.

- Predict using the probability distribution minimally committed/ maximally uncertain/highest Shannon entropy....
- ...subject to it agrees with known constraints
- "almost all" distributions are close to the MaxEnt one
- Uncertainty allows proper treatment
 of suboptimal demonstrations!







What is the maximum entropy distribution with given 1st and 2nd order and moments?

 $\operatorname{argmax}_{p} \qquad -\sum_{x} p(x) \log p(x)$ Entropy s.t. $\sum_{x} p(x)x = m_{1}, \quad \sum_{x} p(x)x^{2} = m_{2}, \quad \sum_{x} p(x) = 1$

Solution:

 $p(x) \propto \exp(\lambda_1 x + \lambda_2 x^2)$, where $\lambda_{1,2}$ are lagrangian multipliers

That's a Gaussian! ... which is of course well known that a Gaussian has maximum entropy of all distributions with given mean and variance

Maximize entropy over paths with a given feature expectation

$$\begin{aligned} \operatorname{argmax}_{p} & -\sum_{\boldsymbol{\tau}} p(\boldsymbol{\tau}) \log p(\boldsymbol{\tau}) \\ \text{s.t.} & \sum_{\boldsymbol{\tau}} p(\boldsymbol{\tau}) \boldsymbol{\psi}(\boldsymbol{\tau}) = \boldsymbol{\psi}(\pi^{*}), \quad \sum_{\boldsymbol{\tau}} p(\boldsymbol{\tau}) = 1 \\ \end{aligned}$$
Solution: $p(\boldsymbol{\tau}) \propto \exp(\boldsymbol{w}^{T} \boldsymbol{\psi}(\boldsymbol{\tau}))$

w is now a lagrangian multiplier

We obtain a soft-max distribution over trajectories

Return of the trajectories: $R(\boldsymbol{\tau}) = \boldsymbol{w}^T \sum_t \gamma^t \boldsymbol{\phi}(\boldsymbol{s}_t) = \boldsymbol{w}^T \boldsymbol{\psi}(\boldsymbol{\tau})$

Problem: Does not take system dynamics into account

Trajectory could have huge return, but is very unlikely due to system dynamics



Maximum-Causal-entropy IRL [Ziebart 2010]

Maximize entropy of the policy with a given feature expectation

$$\begin{aligned} \operatorname{argmax}_{\pi} &\quad -\sum_{t,a} \mu_t(s) \sum_a \pi_t(a|s) \log \pi_t(a|s) &\quad \text{Max. Caus. Ent} \\ \text{s.t. } \forall t : &\quad \sum_t \sum_s \mu_t(s) \phi(s) = \psi(\pi^*), \quad \sum_a \pi(a|s) = 1, \forall s &\quad \text{Match Features} \\ \mu_t(s') = \sum_{s,a} \mu_{t-1}(s) \pi_{t-1}(a|s) p(s'|s, a), &\quad \text{State distribution} \\ \end{aligned}$$

State distribution at time step t has to be consistent with:

- state distribution and policy at time step t-1
- system dynamics

Maximum-Causal-entropy IRL [Ziebart 2010]

Solution: $\pi_t(a|s) \propto \exp\left(\boldsymbol{w}^T \boldsymbol{\phi}(s) + \mathbb{E}[V_{t+1}(s')|s,a]\right)$

 $V_t(s)$ is again a Lagrangian multiplier If we say $w^T \phi(s)$ is the reward, this is a soft-max over the Q-function $\pi_t(a|s) \propto \exp(Q(s,a; w))$

This is still a convex problem:

Solution can be obtained by optimizing dual function

Can be done (relatively) efficiently



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Reward function parameterizing the policy class

Alternatively, we can assume the policy is soft-max in a Q-function

$$\pi_t(a|s;r,\alpha) = \frac{\exp\left(\alpha Q^*(s,a;r)\right)}{\sum_a' \exp\left(\alpha Q^*(s,a';r)\right)}$$

where Q^* is the optimal Q-function for reward function r(s), i.e.,

$$V^{*}(s;r) = \max_{a} Q^{*}(s,a;r)$$
$$Q^{*}(s,a;r) = r(s) + \mathbb{E}[V^{*}(s';r)|s,a]$$

Then we can evaluate the likelihood of seeing a set of state-action pairs as follows:

$$\log p(D|r,\alpha) = \sum_{i} \log \pi(a_i|s_i;r,\alpha)$$

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Is equivalent to "a smarter" Behavior Cloning!

Reward function parameterizing the policy class

Ziebart's approach can also be shown to be equivalent!

Can be extended to Bayesian setup:

Put prior on parameters of reward function

$$p(r, \alpha | D) = \frac{p(D | r, \alpha) p(r, \alpha)}{p(D)}$$

- Ramachandran and Amir, AAAI2007: MCMC method to sample from this distribution
- Neu and Szepesvari, UAI2007: gradient method to optimize the likelihood [MAP]

Open Directions



- Open directions:
 - · Active inverse RL,
 - Inverse RL with minmax control
 - Inverse RL with partial observability
 - Inverse RL with learning stages (rather than observing optimal policy)
 - Many more ...
- Are you interested? We may have an excellent thesis for you!



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Lecture outline

- Case studies:
 - (1) Highway driving,
 - · (2) Crusher,
 - · (3) Parking lot navigation,
 - · (4) Route inference,
 - (5) Human path planning,
 - (6) Human inverse planning,
 - · (7) Quadruped locomotion
 - (8) Helicopter Acrobatics



Highway driving

Teacher in Training World

Learned Policy in Testing World

Driving simulator	X Driving simulator
56 mph	bad t t Center Quit

• Input:

. Dynamics model / Simulator $P_{xu}(x_{t+1} \mid x_t, u_t)$

[Abbeel and Ng 2004]

- · Teacher's demonstration: 1 minute in "training world"
- Note: R* is unknown.
- Reward features: 5 features corresponding to lanes/shoulders; 10 features corresponding to presence of other car in current lane at different distances



[Ratliff + al, 2006/7/8]

Max margin



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Max-margin





[Ratliff + al, 2006/7/8]



Parking lot navigation



- Reward function trades off:
- Staying "on-road,"
- Forward vs. reverse driving,
- Amount of switching between forward and reverse,
- Lane keeping,
- On-road vs. off-road,
- Curvature of paths.

[Abbeel et al., IROS 08]

Experimental setup



Demonstrate parking lot navigation on "train parking lots."



- Run our apprenticeship learning algorithm to find the reward function.
- Receive "test parking lot" map + starting point and destination.
- Find the trajectory that maximizes the learned reward function for navigating the test parking lot.



Nice driving style

65



Sloppy driving-style



QuickTime[™] and a JVT/AVC Coding decompressor are needed to see this picture.



"Don't mind reverse" driving-style

QuickTime[™] and a JVT/AVC Coding decompressor are needed to see this picture.



Human path planning

- Reward features:
 - Time to destination
 - (Forward acceleration)²
 - (Sideways acceleration)²
 - (Rotational acceleration)²
 - Integral (angular error)²



[Mombaur, Truong, Laumond, 2009]



Experimental Setup





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Human path planning



Result:

76

- Time to destination: 1
- (Forward acceleration)² 1.2
- (Sideways acceleration)²
 1.7
- (Rotational acceleration)²
 0.7
- Integral (angular error)² 5.2

[Mombaur, Truong, Laumond, 2009]



Human path planning



[Mombaur, Truong, Laumond, 2009]



[Mombaur, Truong, Laumond, 2009]

Human path planning



Transfer to a Humanoid





Goal inference



- Observe partial paths, predict goal. Goal could be either A, B, or C.
- + HMM-like extension: goal can change (with some probability over time).



[Baker, Saxe, Tenenbaum, 2009]



Goal inference

(a)

81



[Baker, Saxe, Tenenbaum, 2009]

Quadruped





Reward function trades off 25 features.

Hierarchical max margin [Kolter, Abbeel & Ng, 2008]

Experimental setup



Demonstrate path across the "training terrain"



- Run the apprenticeship learning algorithm to find the reward function
- Receive "testing terrain"---height map.



Find the optimal policy with respect to the learned reward
 Junction for crossing the testing terrain.
Little Dog: CMU Team

Ratliff + al, 2007

Remainder of lecture: extreme helicopter flight

- How does helicopter dynamics work
- Autonomous helicopter setup
- Application of inverse RL to autonomous helicopter flight



Autonomous helicopter setup





Helicopter dynamics



- 4 control inputs:
 - · Main rotor collective pitch
 - · Main rotor cyclic pitch (roll and pitch)
 - · Tail rotor collective pitch



Experimental setup for the helicopter

1. Our expert pilot demonstrates the airshow several times.

2. Learn (by solving a joint optimization problem):

- Reward function---trajectory.
- Dynamics model---trajectory-specific local model.
- 3. Fly autonomously:
 - Inertial sensing + vision-based position sensing → (extended)
 Kalman filter
 - Receding horizon differential dynamic programming (DDP) feedback controller (20Hz)
 - Learning to fly new aerobatics takes < 1 hour

Results!

Summary



What you should know:

- Why is inverse RL useful / better than direct imitation learning?
- Algorithmic Challenges in IRL
- Different methods that use IRL, all are linear in features
- Why maximum margin?
- Why max. entropy?

Advertisement

Jan Peters & Gerhard Neumann



TECHNISCHE UNIVERSITÄT DARMSTADT







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Among the most important questions ever:

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Your Master's thesis will already decide on your chances!

ODo you wanna figure out whether there is a researcher in YOU?





Basic Idea: Be a researcher





Mini-Class

- The lecture "Robot Learning" serves as background!
- We give you a few suggestions on platforms and algorithms.

Your idea becomes your project! This can only be fun!

Your creativity is what will make it an amazing experience for both you & us!

Simulation





Simulation





Write a Scientific Paper



No. 12 CONCENTRIC STRUCTURES SURROUNDING LUNAR B.

By W. K. HATTMANN and G. P. KITPUR

I. Introduction

C TUDENTS of the moon have been familiar with Its magnificent Alter Mountains, arching for alread one quadrant around Mars Neetonic and the continuation of this arc, as a hepkus mirles of ridges spanning about soother gendrant, with roughly the states radius of animature. Well known also are the around moantain arcs and depressions surrounding Marg Intriars, though the outward resemblance. between the Imbrian apaton and the Nectaria area in sol clouc.

The systematic study of lanar photographs projected on a large white globe, with the resultmy "metification" of geotestrical relationships, has brought to light several additional structures of the Nexturn class. This Convenientiation contoins a first 10000 on Bese Instanta, and gives a comparison with their prototype, Marc Necturia, which and is farend to have a greater degree of complication than appears to have here noted before. A sciectime of rectified photographs is reproduced with this report. They are shown with north up to make them readily comparable with topographic maps now inproduction, and with east and west used in accurdance with the energy adopted by the International Astronomical Union (1962) at Berkeley, in August, 1981. Supplementary direct photographs (not restilled) with north up also, are added to show specific detail. The present report discusses cortain gross features of the ring structures. More detailed textoric studies of each ring system are in follow.

2. Well-Known Structural Features of Lunar Bashu

The diseaseion of the backst may start with a brief description of Marr Cristans, which wall shows the features accountly attributed to larger busies. A

AT THE MARK SET OF THE STREET CONTRACTION OF THE OWNER OWNER OF THE OWNER OWNE aulis/ AL D

> Mare Cn by xinual obsendy the impact having occurred later This clemical concermay now be contrasted with structures here described, Mars 12.2-11y.

after

3. More Necturis

Plana 32.2 and 12.2 are a matching pair, one morning and one afternoon diamination, both ractified, showing Mare Nectaris and successfing streetams. Of these structures, the Altai Scarp (SW quadrant) is the reast provement. It continues north-



EDITION Robert A. Day

ORYX

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Related Classes:

- Improve Foundations: Robotik 1 (WS) + Robotik 2 (SoSe)
- Useful Techniques: Optimierung statischer und dynamischer Systeme
- More (un-)supervised learning:
 - Maschinelles Lernen: Statistische Methoden (SoSe),
 - Maschinelles Lernen: Statistische Methoden 2 (WS),
 - Maschinelles Lernen: Symbolische Ansätze (WS).

More Autonomous Systems:

• Intelligente Multi-Agent Systeme (SoSe) (New Lecture!)



Theses:

- Our class brings you right to B.Sc. or M.Sc. Thesis level (checkout our homepage)
- If you want to do your Ph.D. (=Dr) in Robot Learning, our classes plus all of the above are guaranteed to be optimal.
- Currently 19 Thesis are supervised by the Autonomous Systems Labs
- Many Master and Bachelor Theses end up in a **Publication!**



- B.Sc. / M.Sc. Informatik:
 - Computational Engineering (see Modulhandbuch), Not DKE
 - If you are strongly interested in machine learning you should:
 - Take ML: Statistical Methods for HCS credit
 - Take ML: Symbolische Methoden for DKE credit
 - Take RL for CE credit
- M.Sc. in Autonome Systeme