

### Model Learning

Gerhard Neumann Jan Peters



- Show different applications of supervised learning in robot learning.
- We can observe a lot of information, and model learning directly allows us to make use of it...
- Learning models can be easier than physical modeling as well as of learning control policies.
- **Model-based learning:** Using learned models to obtain a new policy is typically very data efficient!



- 1. An Example
- 2. Types of Models and Learning Architectures
- 3. Case Study A: Inverse Dynamics & Forward Kinematics
- 4. Case Study B: Model Learning for Operational Space Control
- 5. Case Study C: Model Learning for Controller Falsification
- 6. Final Remarks

### Example: Mars Rover





- Teleoperated System 1.5 AU (1 AU = 8min) away.
- Most intelligence was still on earth.
- Key problems:

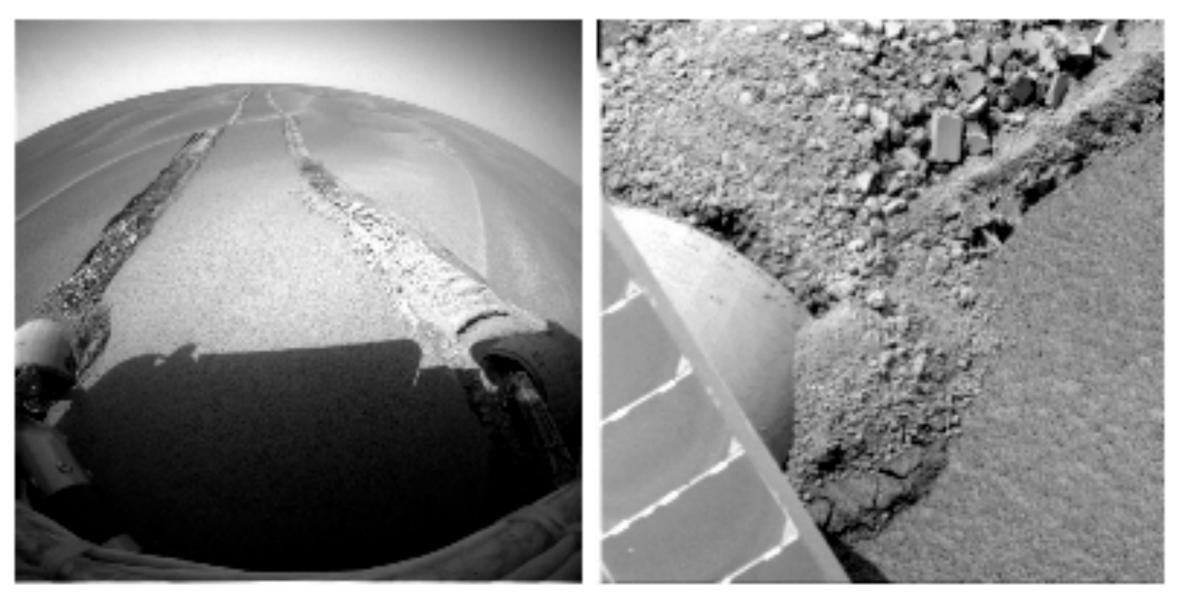
i)getting stuck,

ii) coping with delays

Hence, we need good models...

### Learning to Predict Slip



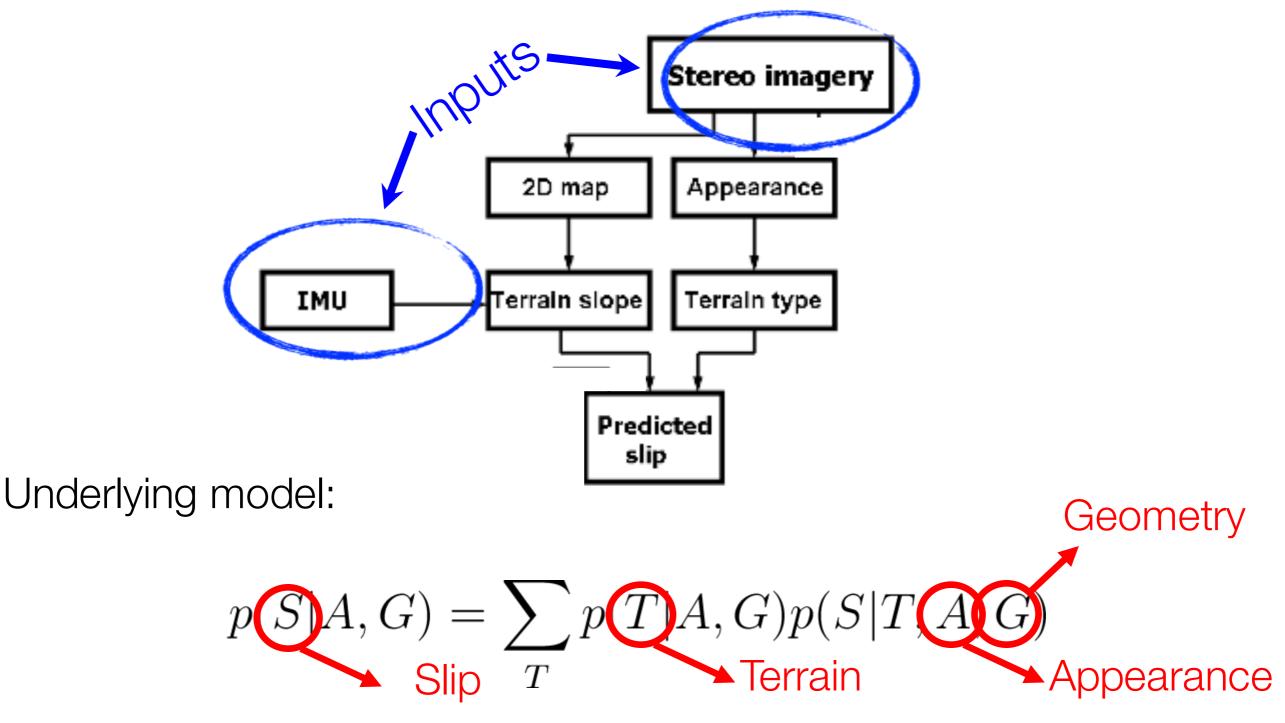


The Mars Exploration Rover Opportunity trapped in the Purgatory dune on sol 447. A similar slip condition can lead to mission failure.



### A Model Learning Architecture

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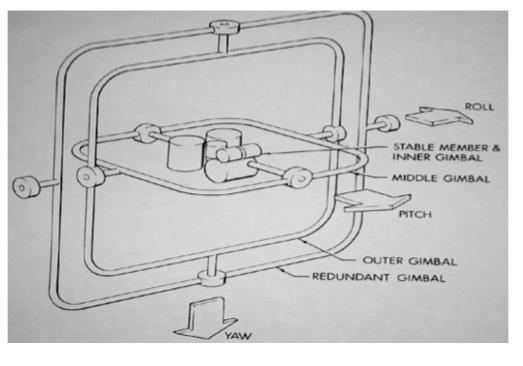
A. Angelova, L. Matthies, D. Helmick, P. Perona, <u>Slip Prediction Using Visual</u> Information, Robotics: Science and Systems (RSS), 2006

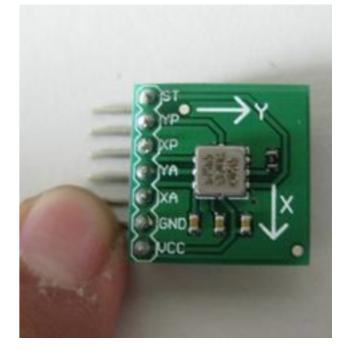
### Inputs







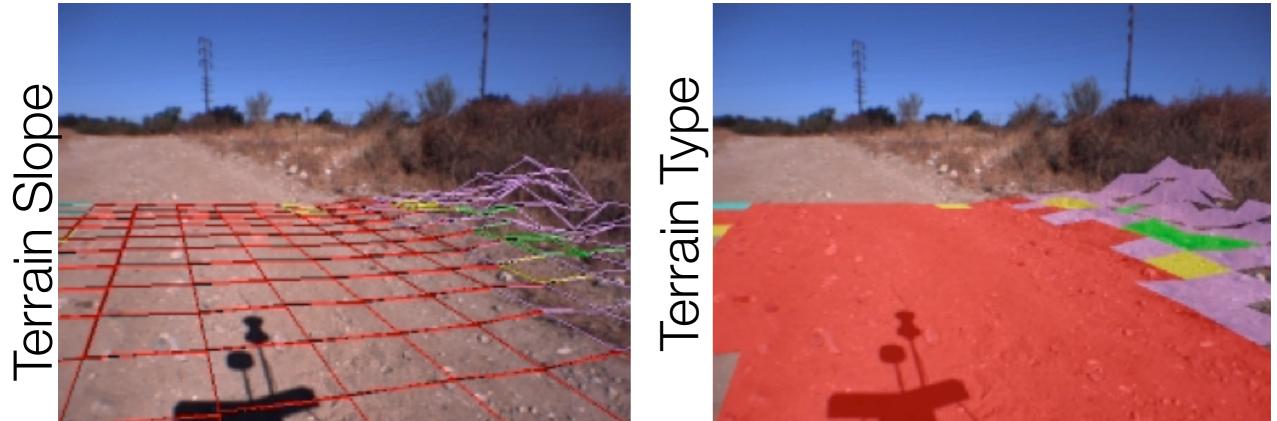






### Features



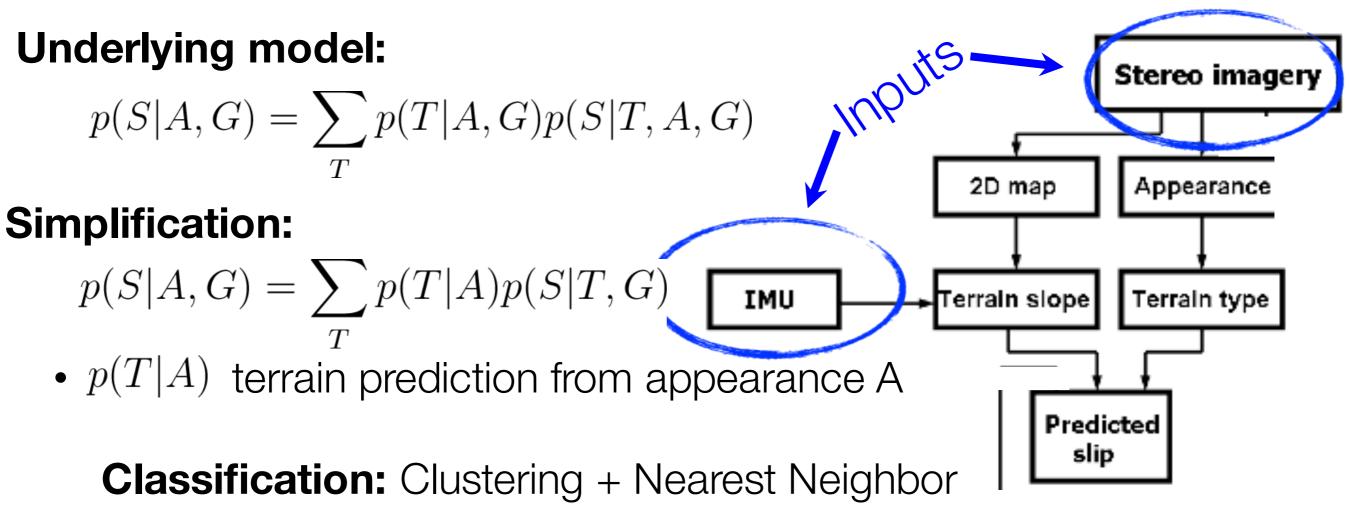






### A Model Learning Architecture

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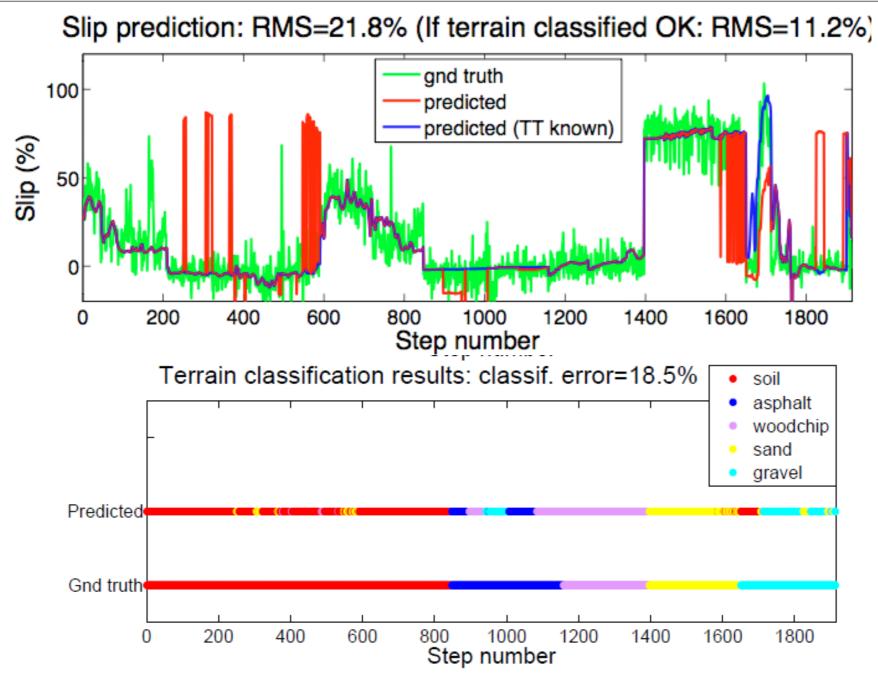


• p(S|T,G) slip prediction from slopes G for each terrain

**Regression:** 2 slopes -> slip, locally weighted regression

A. Angelova, L. Matthies, D. Helmick, P. Perona, <u>Slip Prediction Using Visual</u> Information, Robotics: Science and Systems (RSS), 2006

### Outputs



If terrain type is known, prediction is almost spot on!



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### Types of Models



Assume our system has the functional form

- Discrete time:  $oldsymbol{s}_{k+1} = f_D(oldsymbol{s}_k,oldsymbol{u}_k) + oldsymbol{\epsilon}$
- Continuous time:  $\dot{\boldsymbol{s}}_k = f_C(\boldsymbol{s}_k, \boldsymbol{u}_k) + \boldsymbol{\epsilon}$
- $\cdot$  Discrete time often easier to use  $\longrightarrow$  no integration needed

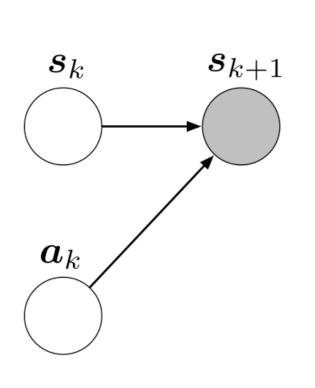
Four types of models become useful:

- Forward Models: Predict the future state.
- Inverse Models: Predict the action needed to reach a state.
- Mixed Models: Predict required task elements with a forward model and use an inverse model for control.
- Multi-Step Models: Predict far in the future what will happen...
   2

### Forward Models



• Predict next state:  $oldsymbol{s}_{k+1} = f_D(oldsymbol{s}_k,oldsymbol{u}_k) + oldsymbol{\epsilon}$ 



 $m{X} = \{m{s}_k, m{u}_k\}_{k=1...N} \ m{Y} = \{m{s}_{k+1}\}_{k=1...N}$ 

Can be used for direct action generation:

$$\pi(\boldsymbol{s}_t) = \operatorname{argmin}_{\boldsymbol{a}} ||f(\boldsymbol{s}_t, \boldsymbol{a}) - \boldsymbol{s}_{t+1}^{\operatorname{des}}||$$

 Forward model is a simulator! can be used for long-term prediction!

Note: typically: 
$$oldsymbol{s} = \left[egin{array}{c} oldsymbol{q} \ \dot{oldsymbol{q}} \end{array}
ight.$$

• Dataset:

### 14

### Inverse Models

 Predict the action needed to reach a desired state or any other desired outcome:

$$\boldsymbol{u} = \pi(\boldsymbol{s}_t) = f(\boldsymbol{s}_t, \boldsymbol{s}_{t+1}^{\text{des}})$$

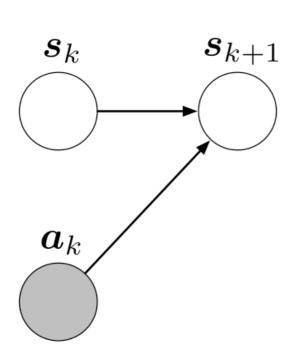
Dataset:

$$m{X} = \{m{s}_k, m{s}_{k+1}\}_{k=1...N}$$
  
 $m{Y} = \{m{u}_k\}_{k=1...N}$ 

 Can be used directly in control, e.g., inverse dynamics control:

$$\begin{aligned} \boldsymbol{u} &= f(\boldsymbol{q}_t, \dot{\boldsymbol{q}}_t, \ddot{\boldsymbol{q}}_t^{\text{des}}) \\ \ddot{\boldsymbol{q}}_t^{\text{des}} &= \boldsymbol{K}_P(\boldsymbol{q}_t^{\text{des}} - \boldsymbol{q}_t) + \boldsymbol{K}_D(\dot{\boldsymbol{q}}_t^{\text{des}} - \dot{\boldsymbol{q}}_t) \end{aligned}$$

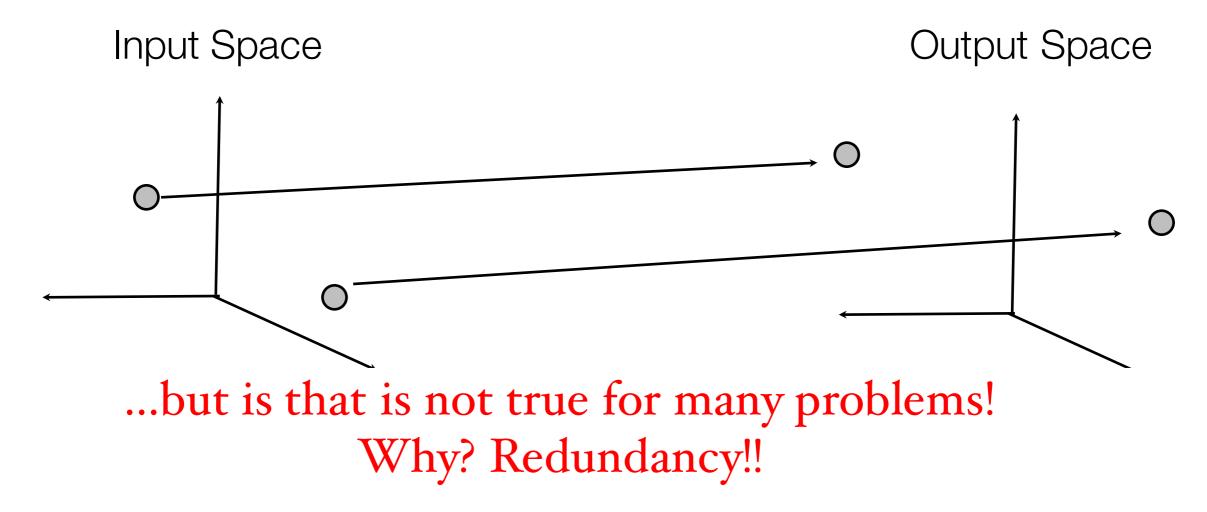
Next desired state is represented by the desired acceleration  $\ddot{\pmb{q}}_t^{\rm des}$ 





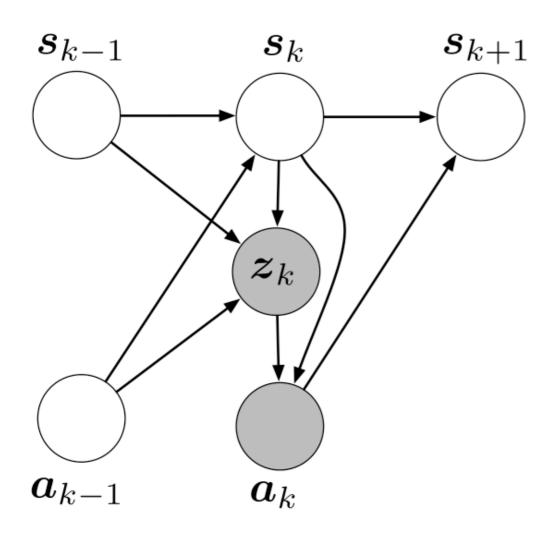


# As long as our system is an **invertible function**, inverse model learning will be useful!



### Mixed Model





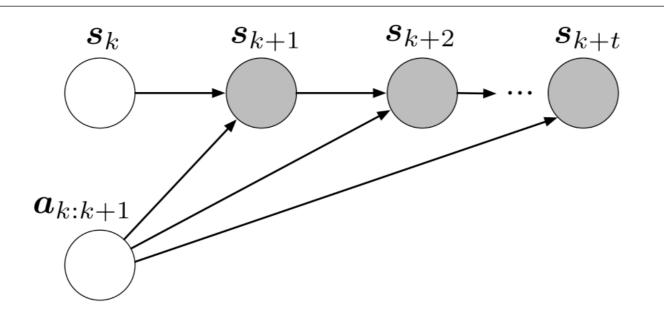
- Assume that we can use our *forward model* to predict quantity *z*.
- Based on *z*, our model can determine the action *a* with an inverse model.
- Examples are:

i) Systems with Hysteresis

ii)Inverse Kinematics



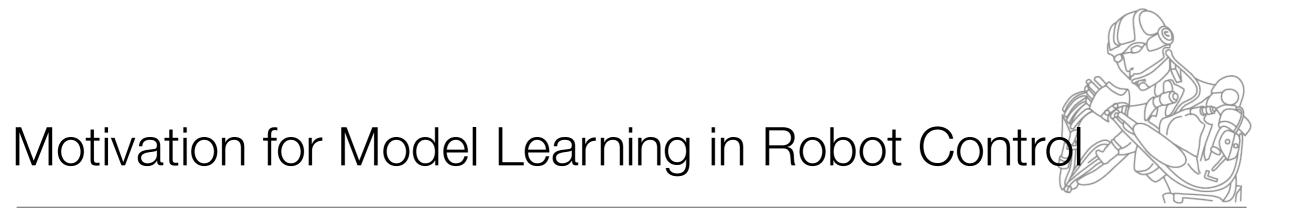
### Multi-Step Prediction Models



*Example*: Imagine you are controlling the Mars Rover. In that case, you need to predict the effect of your actions many states ahead such that you can cope with the delays in the system.

#### Multi-step prediction vs. iterative one step prediction?

- Multi-step: only for open loop control
- Single step: error accumulates!



#### Why learn (Inverse) Kinematics Models?

- Kinematics can be measured nearly perfectly
- but Inverse Kinematics are expensive.

#### Why learn Dynamics Models:

- Dynamics parameters are terrible to estimate for interesting systems.
- Rigid Body Dynamics are inherently incomplete.



### Example Problems in Robot Control

Forward Kinematics:

$$\begin{aligned} \mathbf{x} &= f(\mathbf{q}) \\ \dot{\mathbf{x}} &= \mathbf{J}(\mathbf{q}) \dot{\mathbf{q}} \\ \ddot{\mathbf{x}} &= \dot{\mathbf{J}}(\mathbf{q}) \dot{\mathbf{q}} + \mathbf{J}(\mathbf{q}) \ddot{\mathbf{q}} \end{aligned}$$

**Inverse Kinematics:** 

$$\mathbf{q} = f^{-1}(\mathbf{x})$$
$$\dot{\mathbf{q}} = \mathbf{J}^T(\mathbf{q})(\mathbf{J}(\mathbf{q})\mathbf{J}^T(\mathbf{q}))^{-1}\dot{\mathbf{x}} = \mathbf{J}^+\dot{\mathbf{x}}$$

Which one is not a regression model?



### **Forward Dynamics:**

Continuous Time:  $\ddot{q} = f(q, \dot{q}, u)$ Discrete Time:  $[q_{t+1}, \dot{q}_{t+1}] = f(q_t, \dot{q}_t, u)$ 

#### **Discrete time vs. continuous time forward models**

- + Easier to learn, less noisy data
- + Model learns non-linear effects due to integration
- only works for constant control action and fixed time step



### Example Problems in Robot Control

**Inverse Dynamics:** 

$$\boldsymbol{u} = f(\boldsymbol{q}, \dot{\boldsymbol{q}}, \ddot{\boldsymbol{q}}_{\mathrm{ref}})$$

**Operational/Task Space Control:** 

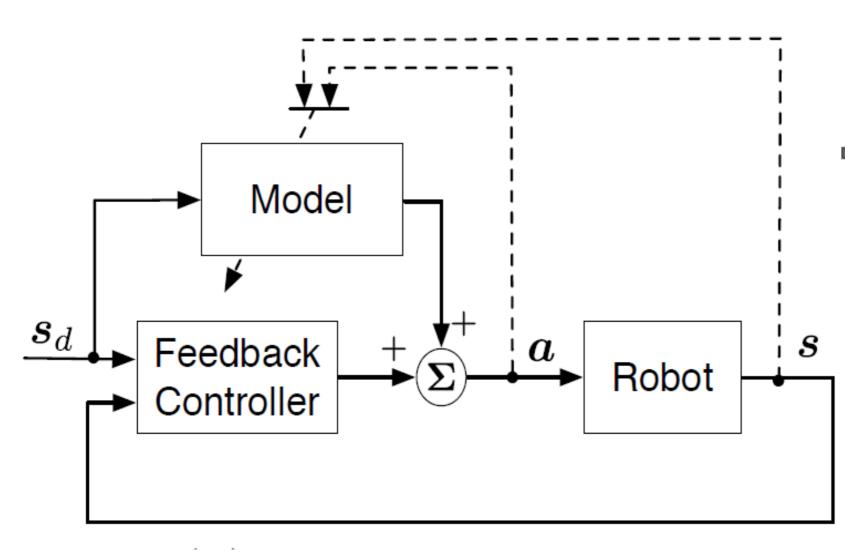
$$\boldsymbol{u} = f(\boldsymbol{q}, \dot{\boldsymbol{q}}, \ddot{\boldsymbol{x}}_{\mathrm{ref}})$$

21 Which one is not a regression model?

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### Model Learning Architectures

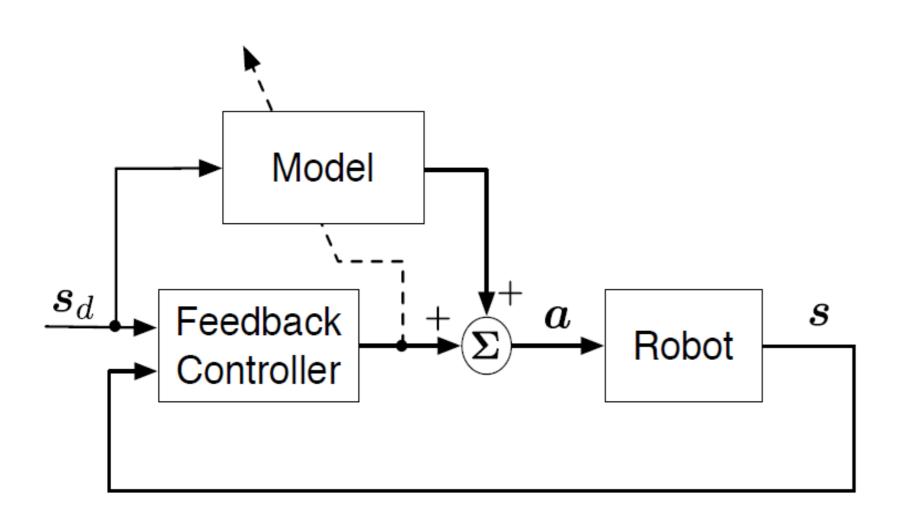
#### **Direct Modeling**

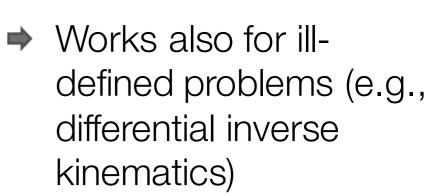


- Learning is directly formulated as regression problem
- Works for well defined input-output relationship

### Model Learning Architectures

#### **Indirect Modeling**





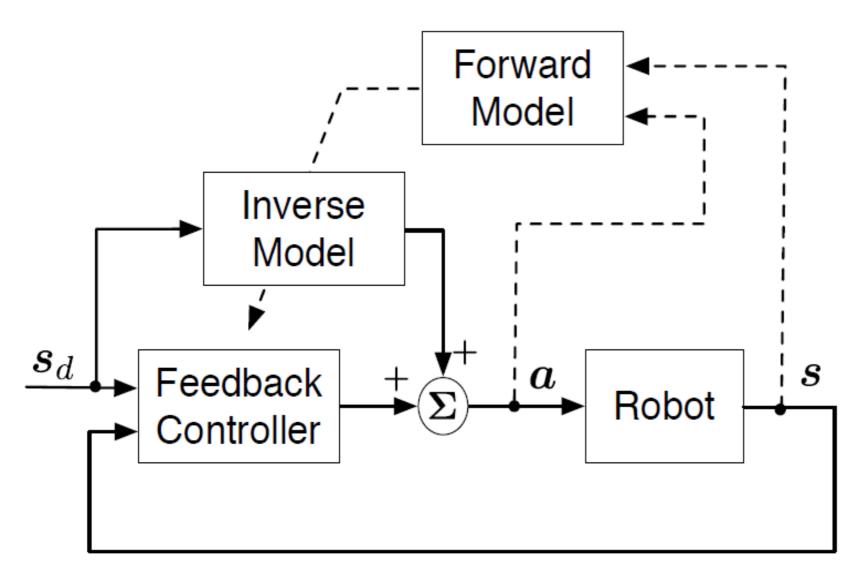
- Learning is modulated by a the feedback error
- Goal oriented, learns for a specific task S<sub>d</sub>



### Model Learning Architectures



#### **Distal Teacher Learning**



- Designed for ill-defined problem of learning inverse models
- Learn unique forward and and inverse models
- Forward-model guides
   learning of the inverse
   model



### Challenges in Model Learning

- High-dimensionality
- Smoothness
- Discontinuities (E.g., stiction, contacts)
- Noise/Outliers
- Missing Data
- Too large or too small datasets
- Online updates
- Incorporation of prior knowledge
- Robustness and Safety





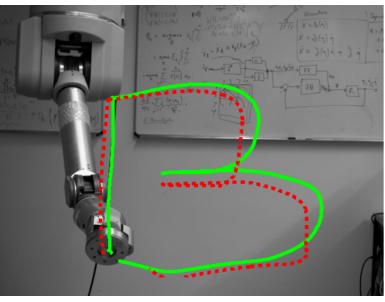
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Compliant, low-gain control of fast & accurate movements requires precise models.

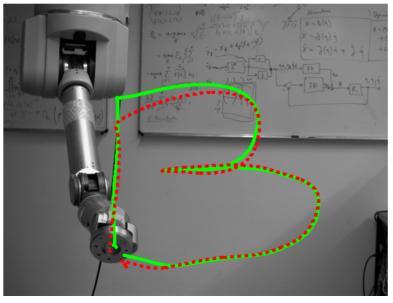
- A changing world requires adaption to altered dynamics.
- Control both directly in joint (here) and task space (next)



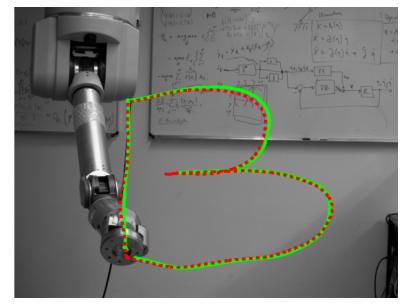


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Offline Trained



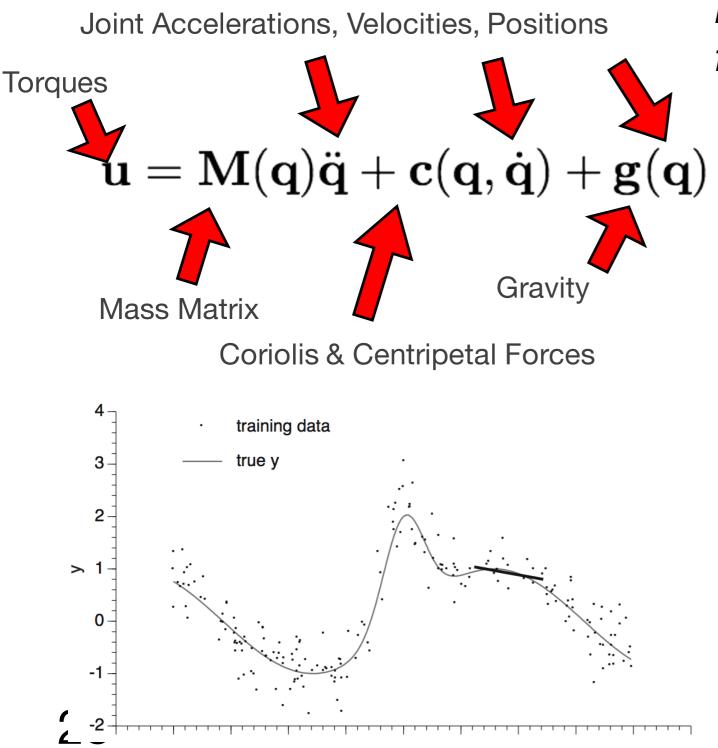
**Online Trained** 



Nguyen-Tuong, Peters, IROS 2008 (Finalist for Best Paper Award)

### Function Approximation Problem





## *Inverse Dynamics* is a giant *function approximation* problem

#### • Robot arm

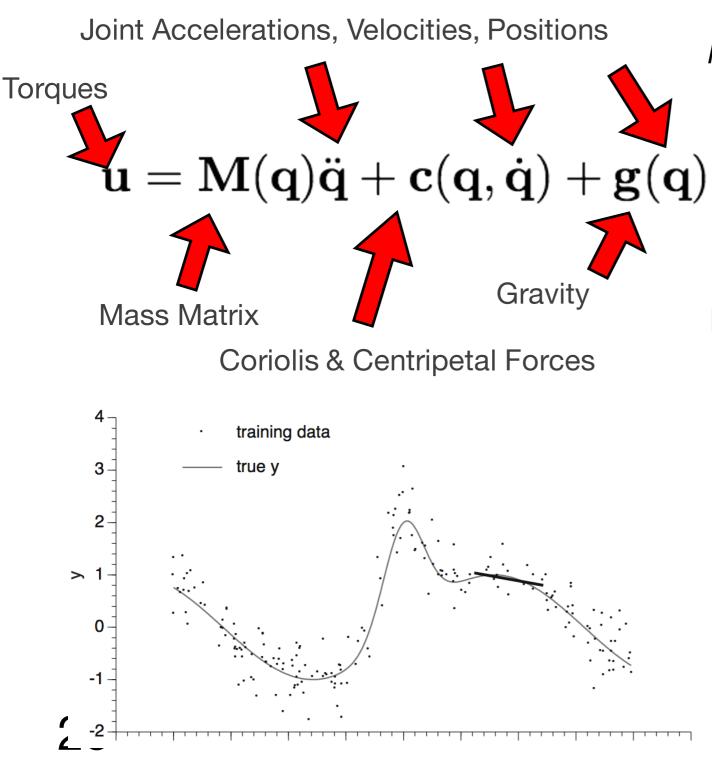
- 3 x 7 = 21 state dimensions,
- 7 action dimensions

#### Humanoid

- $3 \times 30 = 90$  state dimensions
- 30 action dimensions
- Learning in real-time!
  - Online Adaptation is needed for unexplored areas
- Unlimited continuous stream of data...

### Function Approximation Problem





What methods can deal with this problem?

- Neural networks?
- Kernel Regression? GPs?
- Computationally expensive: only in offline settings

Local methods can perform online:

- Locally Weighted PLS Regression (LWPR) (Schaal, Atkeson & Vijayakumar, 2002)
- Local Gaussian Processes (LGP) (Nguyen-Tuong, Peters, 2008)

### Local Gaussian Processes



Gaussian Processes are typically slow:  $\mathcal{O}(N^3) \text{computing the inverse of kernel matrix}$ 

#### Use Local GP Models:

- Use centers  $c_k$  with activation function  $w_k(x) = \exp\left(-0.5\sum_i \frac{(x_i c_{ik})^2}{h_i}\right)$
- Whenever  $w_k({m x}) \leq w_{
  m thresh}, orall k$  create new center at location  $\,{m x}$

• Output function: 
$$\mu({m x}) = rac{\sum_k w_k({m x}) \mu_k({m x})}{\sum_k w_k({m x})}$$

Add data only to nearest center

### Local Gaussian Processes

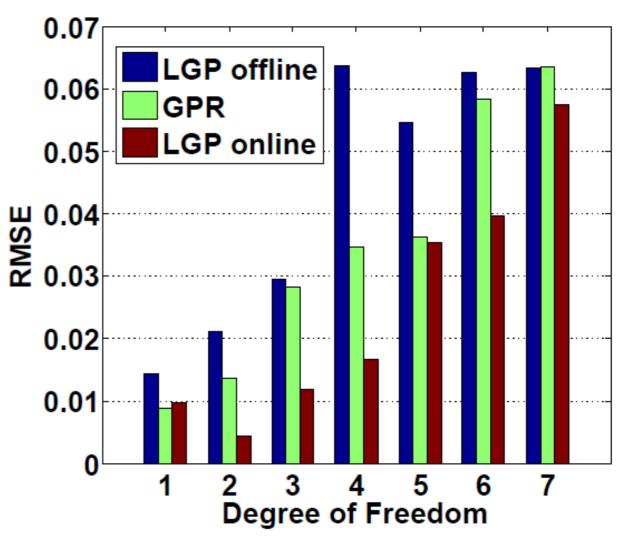


Computational Complexity:  $\mathcal{O}(L^2K)$ 

- L ... number of samples in local models
- K number of local models

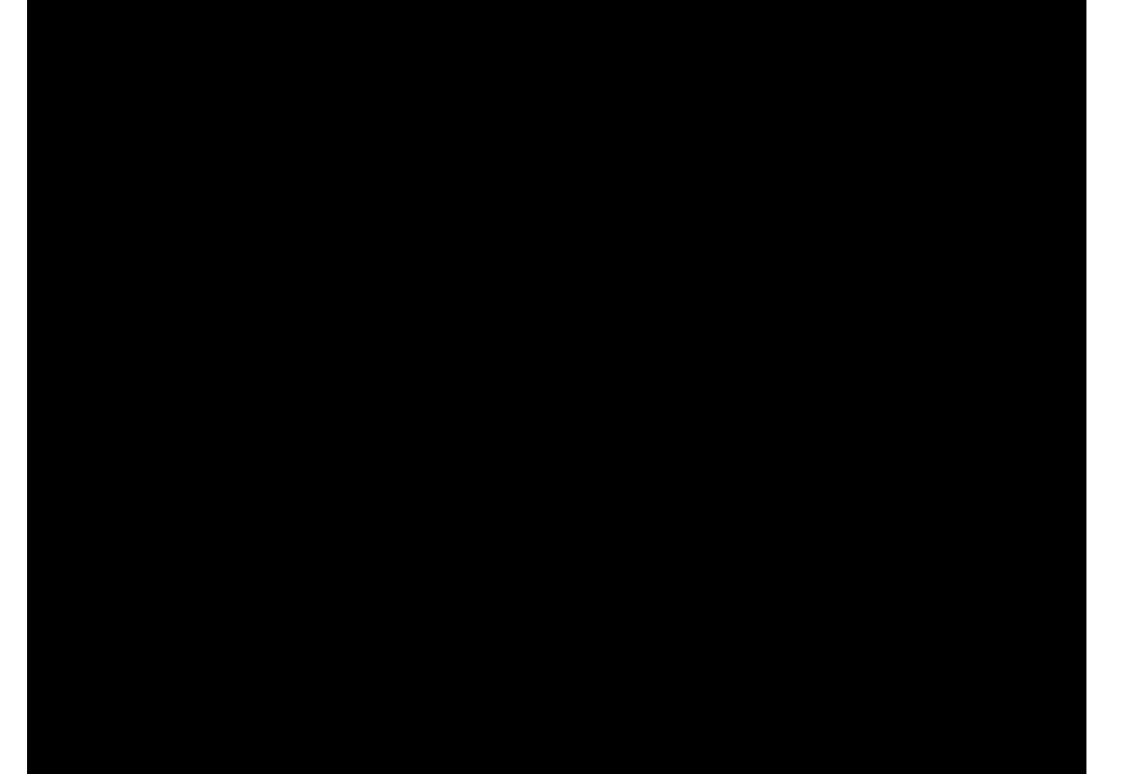
Fast rank-one updates of the covariance

Improved performance due to online updates!





### Learning to Control: Inverse Dynamics





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### Motivation









## **Operational space control:**

learn to control in task-space

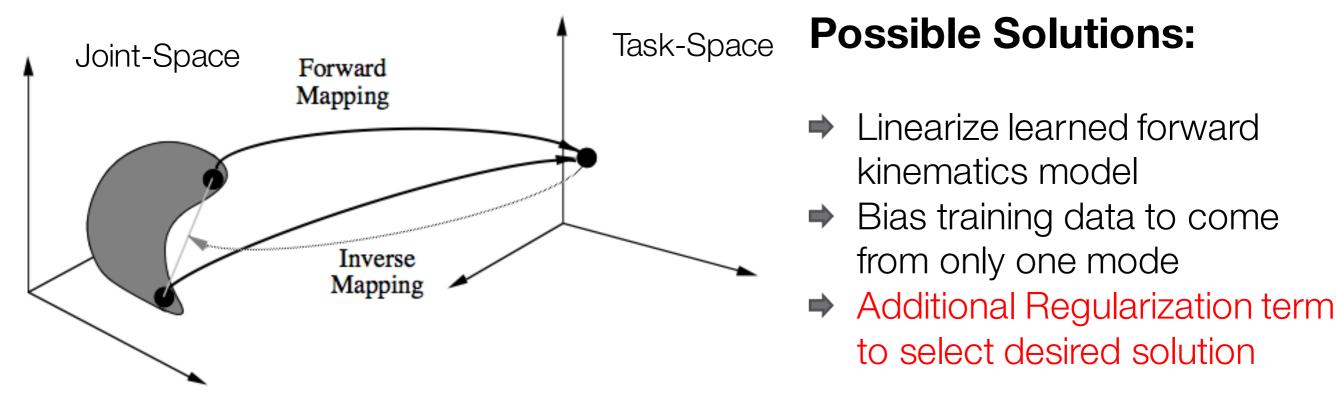
$$\mathbf{s} = (\mathbf{q}, \mathbf{\dot{q}}, \mathbf{\ddot{x}}_{\mathrm{ref}}) 
ightarrow \mathbf{u}$$

- It requires very precise analytical models!
- Complex robots can often not be modeled sufficiently accurate using rigid-body models.
- We need to learn the models



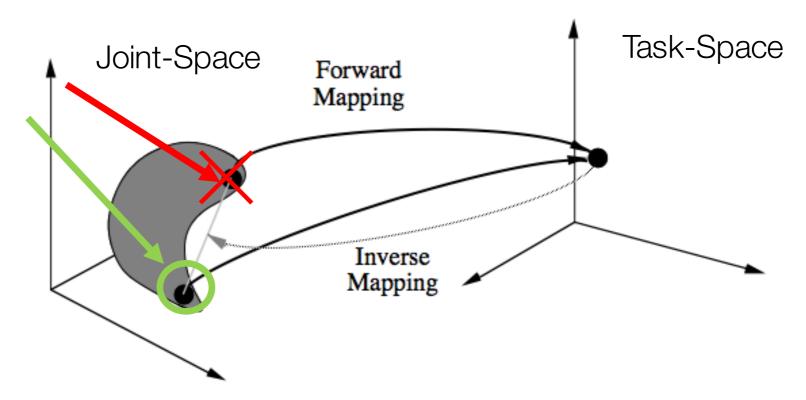
Why is learning the mapping  $\, {\bf s} = ({\bf q}, {\bf \dot{q}}, {\bf \ddot{x}}_{\rm ref}) \to {\bf u} \,$  difficult ?

• It requires averaging over non-convex data!





Select one solution/mode with an additional regularization



Select solution that minimizes effort  $\operatorname{argmax}_{\boldsymbol{u}} r(\boldsymbol{u}), \quad r(\boldsymbol{u}) = -\boldsymbol{u}^T \boldsymbol{H} \boldsymbol{u}$ 

But still fulfills the control task

$$\ddot{\boldsymbol{x}}_{\mathrm{ref}} = f(\boldsymbol{q}, \dot{\boldsymbol{q}}, \boldsymbol{u})$$

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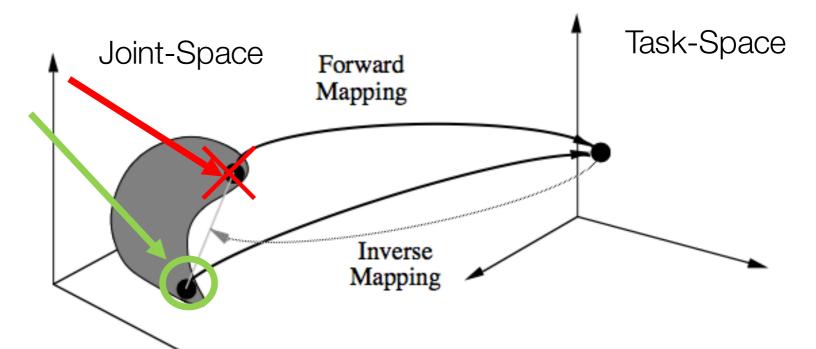
Compute Controllers: Basic Idea

Formalize this selection of the solution as weighted regression problem weighting  $w_i \propto \exp(\eta r(\boldsymbol{u}_i))$ 

$$\theta = \operatorname{argmax}_{\theta} \sum_{i} w_{i} \log \pi(u_{i} | q, \dot{q}, \ddot{x}_{des})$$
  
Weighted maximum likelihood!

The weighting is smaller for data from suboptimal modes

Only one mode remains



## Compute Controllers: Weighted Regression

Use several local linear models  $m_j$ 

For each model, we use a local data-set  $x_i = [1, q_i^T, \dot{q}_i^T, \ddot{x}_{\text{des},i}^T]^T$  and  $y_i = u_i$ 

... where we use a reward-weighting  $W_i$  for each data point  $w_i = \exp(-\tau u_i^T u_i)$ 

The solution for  $\theta_j$  of the local models is given by a weighted linear regression

$$\boldsymbol{\theta}_j = (\boldsymbol{X}^T \boldsymbol{W} \boldsymbol{X} + \lambda \boldsymbol{I})^{-1} \boldsymbol{X}^T \boldsymbol{W} \boldsymbol{Y}$$

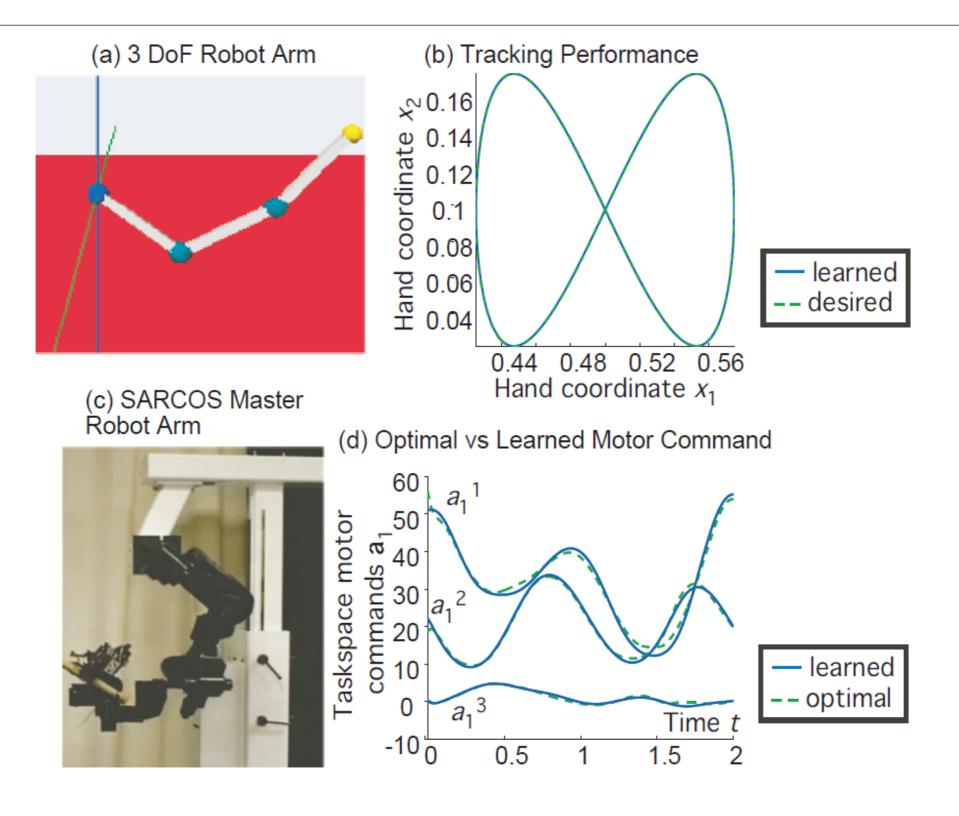
The controls provided by the local model:

$$oldsymbol{u}_{t,j} = oldsymbol{ heta}_j^T egin{bmatrix} 1 \ oldsymbol{q}_t \ \dot{oldsymbol{q}}_t \ \dot{oldsymbol{x}}_ ext{des} \end{bmatrix}$$

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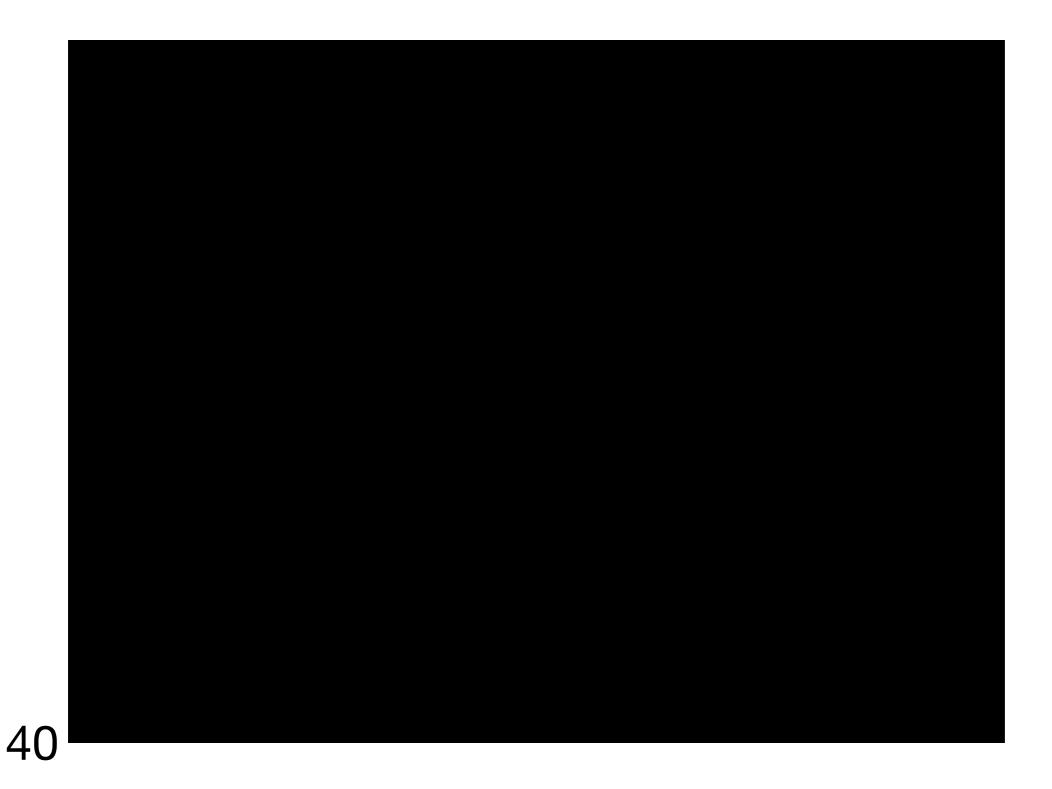


# Results: Learning Operational Space Control





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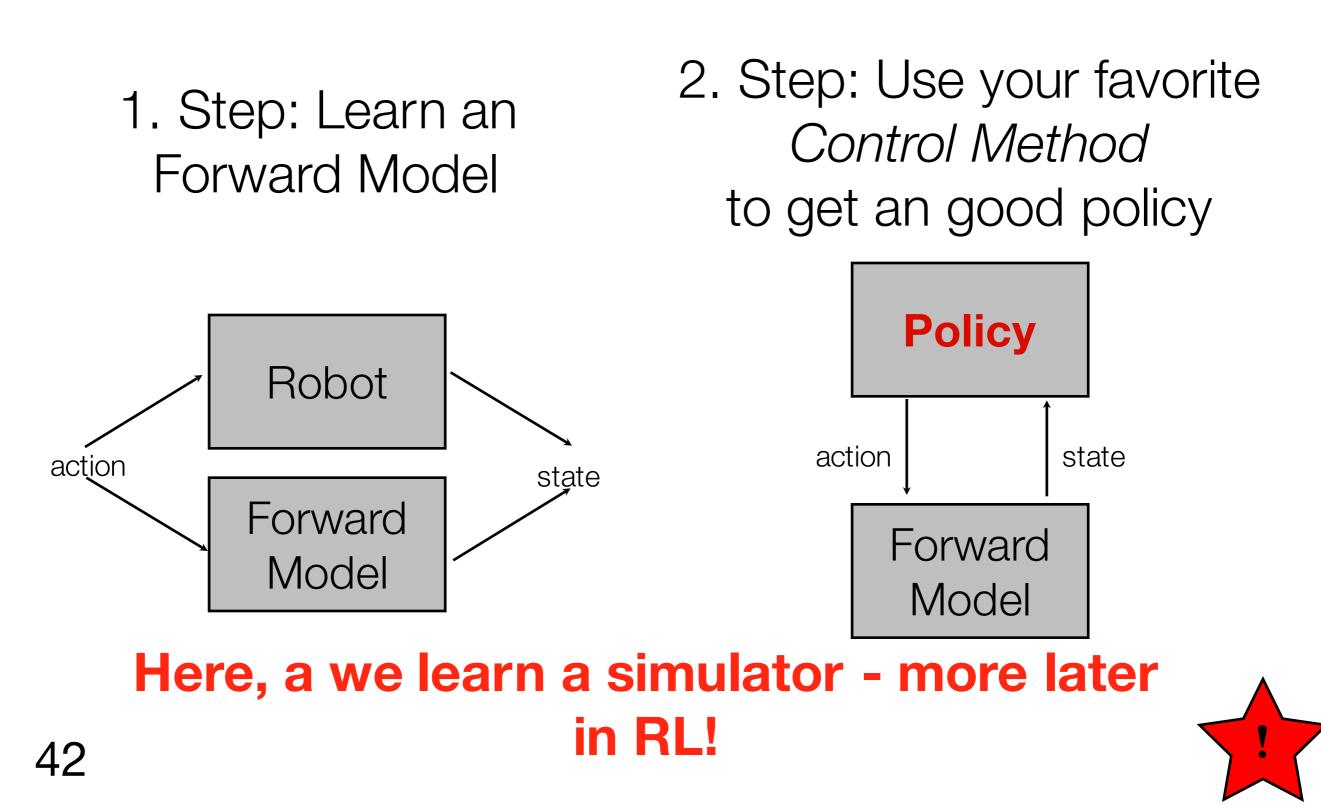




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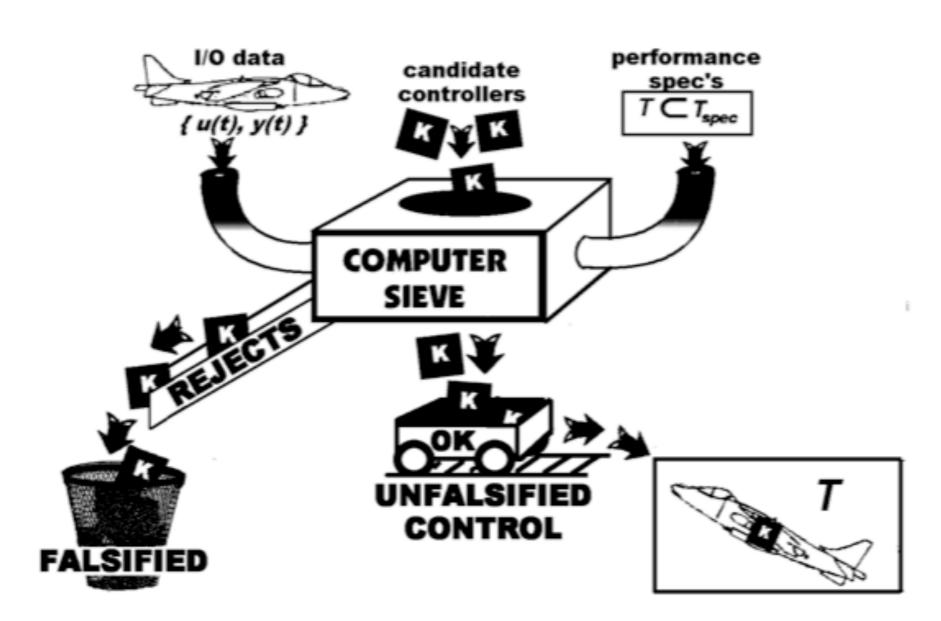
# With a Learned Simulator







### Controller Falsification





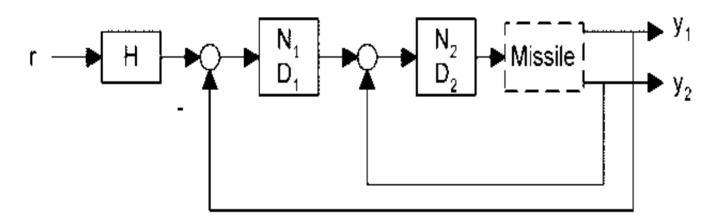
# General Recipe: Fulfill Specification

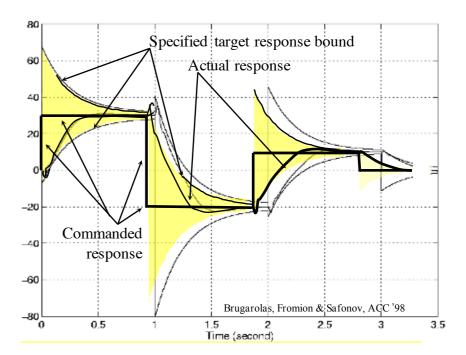
- 1. Learn a Forward Model
- 2. Repeat until at least one good control law was generated:
  - 1. Generate Control Law randomly.
  - 2. Run Control Law in Learned Simulator
  - 3. If Control Law fails, throw it away. Otherwise: break!

3. Output

# Example: Missile (M. Safonov)

- Learns control gains
- Adapts quickly to compensate for damage & failures
- Superior performance





Unfalsified adaptive missile autopilot:

- discovers stabilizing control gains as it flies, nearly instantaneously
- maintains precise sure-footed control



- 1. Learn a Forward Model
- 2. Repeat until at least one good control law was generated:
  - 1. Generate Control Law randomly.
  - 2. Run Control Law in Learned Simulator
  - 3. If control law does better on the metric then the last, keep it!
- 3. Output





#### Model Errors:

•If we have any errors in our model, this approach will exploit them.

#### Local Minima:

•We are prone to get stuck in partially fulfilled specifications if we do anything smarter than brute force sampling.

Stochasticity:

•You cannot compare trials well if they are random.





## Solution

#### Add random noise:

- Loads of noise require more robustness and simulator errors cannot be exploited that easily.
- Noise "washes out" the local minima.
- BUT: the Stochasticity increases ...

#### • Easy Fix

- Test the policy on quasi-random scenarios.
- Can be achieved by re-using the random numbers!
  - Long known in the simulation community...
- E..g., by resetting the random seed of the simulator to always the same value when testing a policy.
  - Known as Pegasus (Ng et al.) but much older.





## Video: Inverted Helicopter

QuickTime™ and a mpeg4 decompressor are needed to see this picture.



- M. G. Safonov. <u>Focusing on the knowable: Controller invalidation and learning.</u> In A. S. Morse, editor, <u>Control Using Logic-Based Switching</u>, pages 224–233. Springer-Verlag, Berlin, 1996.
- M. G. Safonov and T. C. Tsao. <u>The unfalsified control concept and</u> <u>learning</u>. IEEE Trans. Autom. Control, AC-42(6):843–847, June 1997.
- <u>Unfalsified direct adapative control of a two-link robot arm.</u> T.-C. Tsao and M. G. Safonov, Proc. IEEE CCA/CACSD, Kohala Coast–Island of Hawaii, HI, August 22-27, 1999.
- Inverted autonomous helicopter flight via reinforcement learning, Andrew Y. Ng, Adam Coates, Mark Diel, Varun Ganapathi, Jamie Schulte, Ben Tse, Eric Berger and Eric Liang. ISER 2004.
- PEGASUS: A policy search method for large MDPs and POMDPs, Andrew Y. Ng and Michael Jordan. UAI 2000.



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- When directly learnable, learn the model!
- Learning inverse models often requires learning from multiple nonconvex solutions
- Inverse models are useful, if you can, learn them
- Learning good models can sometimes be very hard

